

Self-Certification for Individual FATCA / CRS Declaration Form

(Each account holder to sign a separate form)

DCB BANK

Customer ID:

Account No.:

Name:

Part I - Please fill in the country for each of the following:

1.	a) Country of Birth	<input type="text"/>
	b) Country of Citizenship	<input type="text"/>
	c) Country of Residence for Tax Purposes	<input type="text"/>
	d) Country of Current Residence (Overseas Country for NRI)	<input type="text"/>
2.	U.S. Person (Citizen or Resident of the United States of America)	<input type="checkbox"/> Yes <input type="checkbox"/> No
3.	Customer Declaration (Applicable only if customer's Country of Current Residence is different from Country of Residence for Tax Purposes)	
	I certify that:	
	<input type="checkbox"/> I have recently shifted to _____ (Name of the Country) and as per the applicable tax regulations, I am not tax resident of the Country where I am currently residing. I am tax resident of _____ (Name of the Country)	
	OR	
	<input type="checkbox"/> I do not fulfil the conditions of tax residency of the country where I am currently residing. I am tax resident of _____ (Name of the Country)	
	OR	
	<input type="checkbox"/> Any other reason (please specify)	
	<input type="text"/>	
	<input type="text"/>	
	<input type="text"/>	

Part II - Please note:

- a. If in all fields above, the country mentioned by you is India (except in case of seafarers) and if you do NOT have U.S. person status, please proceed to Part III for signature.
- b. If for any of the above fields, the country mentioned by you is NOT India and/or if your U.S. person status is Yes, please provide the Tax Payer Identification Number (TIN) or functional equivalent as issued in the specific country in the table below:

i)	<input type="checkbox"/> TIN	<input type="text"/>
	<input type="checkbox"/> Functional Equivalent (Please specify name and number)	<input type="text"/>
	Country of Issue	<input type="text"/>
ii)	<input type="checkbox"/> TIN	<input type="text"/>
	<input type="checkbox"/> Functional Equivalent (Please specify name and number)	<input type="text"/>
	Country of Issue	<input type="text"/>
iii)	<input type="checkbox"/> TIN	<input type="text"/>
	<input type="checkbox"/> Functional Equivalent (Please specify name and number)	<input type="text"/>
	Country of Issue	<input type="text"/>

- c. If you satisfy the criteria mentioned in Part II (b) but do not have Taxpayer Identification Number / functional equivalent, please (ü) any of the option given below:

I am a person resident out of India with (choose any one of the option below, only if applicable):

- Country not issuing TIN / Functional Equivalent _____
(mention Visa / Residence / Work permit number)
- Dependent visa _____ (mention dependent visa number)
- Student visa _____ (mention student visa number)
- Seafarer status _____ (mention Continuous Discharge Certificate (CDC) / visa number)
- Travelling to the country of residence for the first time _____ (mention visa number. TIN / functional equivalent to be communicated to the Bank within 90 days, else account will get closed).

OR

I am a person resident in India as well as resident for tax purposes in India (please also fill Part IV self-certification)

- d. If you are declaring U.S. person status as 'No' but your country of birth is U.S., please provide document evidencing Relinquishment of Citizenship. If not available, provide reason/s for the same _____
(Please also fill Part IV self-certification).

Part III - Customer Declaration (Applicable for all customers)

- i. Under penalty of perjury, I certify that:
1. The applicant is (i) an applicant taxable as a U.S. person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (This clause is applicable only if the account holder is identified as a U.S. person).
or
 2. The applicant is taxable as a tax resident under the laws of country outside India. (This clause is applicable only if the account holder is a tax resident outside of India).
- ii. I understand that the Bank is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA / CRS. The Bank is not able to offer any tax advice on CRS or FATCA or its impact on the applicant. I shall seek advice from professional tax advisor for any tax questions.
- iii. I agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.
- iv. I agree that as may be required by domestic regulators / tax authorities, the Bank may also be required to inform reportable details to Central Board of Direct Taxes (CBDT) or close or suspend my account.
- v. I certify that I provide the information on this form and to the best of my knowledge and belief the certification is true, correct, and complete including the taxpayer identification number / functional equivalent number of the applicant.
- vi. In the event that any details given by me are found to be incorrect, as a consequence of which, penalty/ies is/are levied by the regulator/s on the Bank, I authorise the Bank to recover the full penal amount(s) from my account(s).

Signature:	
Name:	
Date (DD/MM/YYYY):	

Part IV - Self-Certification: (Not applicable for NRI customers except for point (b) below)

To be filled only if-

(a) Any of the indicia parameters is outside India and TIN or functional equivalent is not available since not a resident for tax purpose outside India, or
 (b) Country of birth is U.S. and U.S. person is mentioned as "No" in Part I

I confirm that I am neither a U.S. person nor a resident for tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and residency in India.	Signature
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Document Proof Submitted (Please tick documents being submitted)

<input type="checkbox"/> Passport	<input type="checkbox"/> Election ID Card	<input type="checkbox"/> PAN Card	<input type="checkbox"/> Driving License
<input type="checkbox"/> UIDAI Letter	<input type="checkbox"/> NREGA Job Card	<input type="checkbox"/> Govt. Issued ID Card	

Note-

The term United States (U.S.) person means:

- a. An individual, being a citizen or resident of the United States of America;
- b. Partnership or corporation organized in the United States of America or under the laws of the United States of America of any State thereof;
- c. A trust if: (i) a court within the United States of America would have authority under applicable law to render orders or judgements concerning substantially all issues regarding administration of the trust; and (ii) one or more U.S. persons have the authority to control all substantial decisions of the trust;
- d. An estate of a decedent who was a citizen or resident of the United States of America.

Functional equivalent of TIN includes the following:

A social security number / national insurance number / citizen or personal identification code or number / resident registration number.