

EXTRACT OF ANNUAL RETURN (In Form MGT.9) as on the financial year ended on March 31, 2020

[Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

i) CIN:-	L99999MH1995PLC089008
ii) Registration Date	May 31, 1995
iii) Name of the Company	DCB Bank Limited
iv) Category / Sub-Category of the Company	Public Company
v) Address of the Registered office and contact details	601 & 602, Peninsula Business Park, 6th floor, Tower A, Senapati Bapat Marg, Lower Parel, Mumbai - 400013. Maharashtra, INDIA Tel.: 022-66187000 Fax: 022-66589970; E-mail: investorgrievance@dcbbank.com ; Website: www.dcbbank.com
vi) Whether listed company Yes/ No	Yes
vii) Name, Address and Contact details of Registrar and Transfer Agent, if any	Link Intime India Pvt.Ltd. CIN:U67190MH1999PTC118368 C-101, 247 Park, L. B. S. Marg, Vikhroli (West), Mumbai - 400083 Tel No: +91 22 49186000 (Board Line) 49186270 (Investor Helpdesk) Fax: +91 22 49186060 E-mail: mumbai@linkintime.co.in ; Website: www.linkintime.co.in

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated: -

Sr. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1.	BANKING	64191	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No.	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of shares held	Applicable Section
	Not Applicable				

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding as of March 31, 2020

Category of Shareholders	No. of Shares held at the beginning of the year (01-04-2019)				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	0	0	0	0.00	0	0	0	0.00	0
b) Central Govt	0	0	0	0.00	0	0	0	0.00	0
c) State Govt (s)	0	0	0	0.00	0	0	0	0.00	0
d) Bodies Corp.	24,50,182	0	24,50,182	0.79	24,50,182	0	24,50,182	0.79	0.00
e) Banks / FI	0	0	0	0.00	0	0	0	0.00	0
f) Any Other....	0	0	0	0.00	0	0	0	0.00	0
Sub-total (A) (1):-	24,50,182	0	24,50,182	0.79	24,50,182	0	24,50,182	0.79	0.00
(2) Foreign									
a) NRIs - Individuals	0	0	0	0.00	0	0	0	0.00	0
b) Other – Individuals	0	0	0	0.00	0	0	0	0.00	0
c) Bodies Corp.	4,37,50,052	0	4,37,50,052	14.13	437,50,052	0	437,50,052	14.09	(0.04)
d) Banks / FI	0	0	0	0	0	0	0	0.00	0
e) Any Other....	0	0	0	0	0	0	0	0.00	0
Sub-total (A) (2):-	4,37,50,052	0	4,37,50,052	14.13	437,50,052	0	437,50,052	14.09	(0.04)
Total shareholding of Promoter (A) = (A)(1)+(A)(2)	4,62,00,234	0	4,62,00,234	14.92	462,00,234	0	462,00,234	14.88	-0.04
B. Public Shareholding									
1. Institutions									
a) Mutual Funds	5,41,13,712	0	5,41,13,712	17.48	833,34,993	0	833,34,993	26.85	9.37
b) Banks / FI	8,33,130	0	8,33,130	0.27	8,70,748	0	8,70,748	0.28	0.01
c) Central Govt.	0	0	0	0.00	0	0	0	0.00	0
d) State Govt(s)	0	0	0	0.00	0	0	0	0.00	0
e) Alternate Investment Funds	1,98,63,864	0	1,98,63,864	6.42	221,59,951	0	221,59,951	7.14	0.72
f) Insurance Companies	29,29,951	0	29,29,951	0.94	32,76,024	0	32,76,024	1.06	0.12
g) FIs and QFI	0	0	0	0.00	0	0	0	0.00	0

h) Foreign Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0
i) Others :	0	0	0	0.00	0	0	0	0.00	0
a) Foreign Portfolio Investor	8,03,79,538	0	8,03,79,538	25.97	708,46,608	0	708,46,608	22.82	-(3.15)
b) Trusts	26,088	1,035	27,123	0.01	40,941	1,035	41,976	0.01	0.00
Sub-total (B)(1):-	15,81,46,283	1,035	15,81,47,318	51.09	1805,29,265	1,035	1805,30,300	58.16	7.07
2. Non-Institutions									
a) Bodies Corp.									
i) Indian	1,96,27,241	2,34,042	1,98,61,283	6.42	90,19,680	2,29,042	92,48,722	2.98	(3.44)
ii) Overseas	0	0	0	0.00	0	0	0	0	0.00
b) Individuals									
i) Individual shareholders holding nominal share capital upto ` 1 lakh	5,25,26,215	66,01,446	5,91,27,661	19.10	484,48,355	61,24,631	545,72,986	17.58	(1.52)
ii) Individual shareholders holding nominal share capital in excess of ` 1 lakh	1,74,84,049	11,000	1,74,95,049	5.65	134,19,764	11,201	134,30,965	4.33	(1.32)
c) Others (specify)									
(c-i) Clearing Members	13,03,730	0	13,03,730	0.42	5,16,855	0	5,16,855	0.17	(0.25)
(c-ii) NRI-Rep	30,84,858	0	30,84,858	1.00	24,01,795	1,625	24,03,420	0.77	(0.23)
(c-iii)NRI-Non Rep.	17,47,183	1,125	17,48,308	0.57	13,96,382	1,125	13,97,507	0.45	(0.12)
(c-iii)Foreign Nationals	0	0	0	0.00	0	0	0	0	0.00
(c-iv) Directors & Relatives	25,72,291	6,166	25,78,457	0.83	21,11,701	2,723	21,14,424	0.68	(0.15)
Sub-total (B)(2):-	9,83,45,567	68,53,779	10,51,99,346	33.99	773,14,532	63,70,347	836,84,879	26.96	(7.03)
Total Public Shareholding (B)=(B)(1)+ (B)(2)	25,64,91,850	68,54,814	26,33,46,664	85.08	2578,43,797	63,71,382	2642,15,179	85.12	0.04
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	30,26,92,084	68,54,814	30,95,46,898	100.00	3040,44,031	63,71,382	3104,15,413	100.00	0.00

(ii) Shareholding of Promoters as of March 31, 2020

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year (01-04-2019)			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1.	AGA/ KHAN FUND FOR ECONOMIC DEVELOPMENT S.A.	43,750,052	14.13	0	43,750,052	14.09	0	(0.04)
2.	PLATINUM JUBILEE INVESTMENTS LTD.	2,450,182	0.79	0	2,450,182	0.79	0	0.00
	Total	46,200,234	14.92	0	46,200,234	14.88	0	(0.04)

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sr. No.		Shareholding at the beginning of the year (01-04-2019)		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	46,200,234	14.92	46,200,234	14.88
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	There is no change in the absolute shareholding of the Promoters during the year.			
	At the end of the year	46,200,234	14.92	46,200,234	14.88

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

[Shareholding consolidated based on PAN pursuant to SEBI Circular SEBI/HO/CFD/CMD/CIR/P/2017/128 dated December 19, 2017]

Sr. No.	Shareholding at the beginning of the year (01-04-2019)	Cumulative Shareholding during the year

	For Each of the Top 10 Shareholders Name, Date & Reason of change		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE BANKING AND FINANCIAL SERVICES FUND AND OTHER ALL SCHEMES		12,312,434	3.98	12,312,434	3.98
Add	12-04-2019	Market Purchase	134,600	0.04	12,447,034	4.02
Less	26-04-2019	Market Sale	(177,000)	(0.06)	12,270,034	3.96
Add	10-05-2019	Market Purchase	200,000	0.07	12,470,034	4.03
Less	17-05-2019	Market Sale	(500,000)	(0.16)	11,970,034	3.87
Less	21-06-2019	Market Sale	(609,000)	(0.20)	11,361,034	3.67
Less	12-07-2019	Market Sale	(300,000)	(0.10)	11,061,034	3.57
Less	19-07-2019	Market Sale	(1,010,000)	(0.33)	10,051,034	3.24
Add	26-07-2019	Market Purchase	50,000	0.02	10,101,034	3.26
Add	09-08-2019	Market Purchase	111,000	0.03	10,212,034	3.29
Less	16-08-2019	Market Sale	(228,000)	(0.07)	9,984,034	3.22
Less	23-08-2019	Market Sale	(4,800)	(0.00)	9,979,234	3.22
Add	27-09-2019	Market Purchase	287,000	0.09	10,266,234	3.31
Add	30-09-2019	Market Purchase	122,000	0.04	10,388,234	3.35
Add	04-10-2019	Market Purchase	175,000	0.06	10,563,234	3.41
Add	11-10-2019	Market Purchase	500,000	0.16	11,063,234	3.57
Add	25-10-2019	Market Purchase	75,677	0.02	11,138,911	3.59
Add	01-11-2019	Market Purchase	49,323	0.02	11,188,234	3.61
Add	08-11-2019	Market Purchase	137,000	0.04	11,325,234	3.65
Add	06-12-2019	Market Purchase	85,000	0.03	11,410,234	3.68
Add	13-12-2019	Market Purchase	65,000	0.02	11,475,234	3.70
Add	20-12-2019	Market Purchase	100,000	0.03	11,575,234	3.73
Add	27-12-2019	Market Purchase	183,000	0.06	11,758,234	3.79
Add	31-12-2019	Market Purchase	100,000	0.03	11,858,234	3.82
Add	03-01-2020	Market Purchase	261,001	0.09	12,119,235	3.91
Add	10-01-2020	Market Purchase	1,305,722	0.42	13,424,957	4.33
Less	17-01-2020	Market Sale	(181,000)	(0.06)	13,243,957	4.27
Add	07-02-2020	Market Purchase	500,000	0.16	13,743,957	4.43
Add	13-03-2020	Market Purchase	127,595	0.04	13,871,552	4.47
Less	20-03-2020	Market Sale	(90,486)	(0.03)	13,781,066	4.44
Add	27-03-2020	Market Purchase	100,000	0.03	13,881,066	4.47
	At the end of the year (or on the date of separation, if separated during the year) (31-03-2020)		N.A.	N.A.	13,881,066	4.47
2	HDFC SMALL CAP FUND		NIL	N.A.	NIL	N.A.

Add	03-05-2019	Market Purchase	820,000	0.26	820,000	0.26
Add	10-05-2019	Market Purchase	3,300,610	1.07	4,120,610	1.33
Add	17-05-2019	Market Purchase	1,419,000	0.46	5,539,610	1.79
Add	24-05-2019	Market Purchase	2,042,000	0.66	7,581,610	2.45
Add	31-05-2019	Market Purchase	857,000	0.28	8,438,610	2.73
Add	07-06-2019	Market Purchase	1,302,000	0.41	9,740,610	3.14
Add	14-06-2019	Market Purchase	330,000	0.11	10,070,610	3.25
Add	21-06-2019	Market Purchase	500,000	0.16	10,570,610	3.41
Add	29-06-2019	Market Purchase	145,000	0.05	10,715,610	3.46
Add	12-07-2019	Market Purchase	127,000	0.04	10,842,610	3.50
Add	19-07-2019	Market Purchase	403,000	0.13	11,245,610	3.63
Add	26-07-2019	Market Purchase	500,000	0.16	11,745,610	3.79
Add	02-08-2019	Market Purchase	238,000	0.08	11,983,610	3.87
Add	09-08-2019	Market Purchase	1,337,276	0.43	13,320,886	4.30
Add	16-08-2019	Market Purchase	324,000	0.10	13,644,886	4.40
Add	20-09-2019	Market Purchase	262,000	0.08	13,906,886	4.48
Less	20-03-2020	Market Sale	(164,300)	(0.05)	13,742,586	4.43
	At the end of the year (or on the date of separation, if separated during the year) (31-03-2020)		N.A.	N.A.	13,742,586	4.43
3	INDIA ADVANTAGE FUND S4 I		12,473,881	4.03	12,473,881	4.03
	At the end of the year (or on the date of separation, if separated during the year) (31-03-2020)		N.A.	N.A.	12,473,881	4.02
4	ICICI PRUDENTIAL BANKING AND FINANCIAL SERVICES FUND AND OTHER ALL SCHEMES		3,545,006	1.15	3,545,006	1.15
Add	26-04-2019	Market Purchase	150,000	0.04	3,695,004	1.19
Less	17-05-2019	Market Sale	(139,542)	(0.04)	3,555,464	1.15
Add	24-05-2019	Market Purchase	132	0.00	3,555,596	1.15
Less	31-05-2019	Market Sale	(1,404,000)	(0.45)	2,151,596	0.70
Less	21-06-2019	Market Sale	(43,588)	(0.02)	2,108,008	0.68
Less	29-06-2019	Market Sale	(501,339)	(0.16)	1,606,669	0.52
Less	05-07-2019	Market Sale	(69,603)	(0.02)	1,537,066	0.50
Add	19-07-2019	Market Purchase	654,169	0.21	2,191,235	0.71
Add	26-07-2019	Market Purchase	817,726	0.26	3,008,961	0.97
Add	02-08-2019	Market Purchase	796,349	0.26	3,805,310	1.23
Add	09-08-2019	Market Purchase	343,809	0.11	4,149,119	1.34
Add	16-08-2019	Market Purchase	8,146	0.00	4,157,265	1.34
Less	23-08-2019	Market Sale	(68,746)	(0.02)	4,088,519	1.32
Add	30-08-2019	Market Purchase	367,419	0.12	4,455,938	1.44

Add	06-09-2019	Market Purchase	90,764	0.03	4,546,702	1.47
Add	13-09-2019	Market Purchase	9,325	0.00	4,556,027	1.47
Add	20-09-2019	Market Purchase	76,612	0.02	4,632,639	1.49
Add	27-09-2019	Market Purchase	526,217	0.17	5,158,856	1.66
Less	30-09-2019	Market Sale	(2,435)	(0.00)	5,156,421	1.66
Add	04-10-2019	Market Purchase	66,456	0.02	5,222,877	1.68
Add	11-10-2019	Market Purchase	179,988	0.06	5,402,865	1.74
Add	18-10-2019	Market Purchase	21	0.00	5,402,886	1.74
Add	25-10-2019	Market Purchase	140	0.00	5,403,026	1.74
Add	01-11-2019	Market Purchase	1,058,846	0.34	6,461,872	2.08
Add	15-11-2019	Market Purchase	34,079	0.01	6,495,951	2.09
Add	22-11-2019	Market Purchase	496,908	0.16	6,992,859	2.25
Add	29-11-2019	Market Purchase	34,476	0.01	7,027,335	2.26
Add	13-12-2019	Market Purchase	41,972	0.02	7,069,307	2.28
Add	20-12-2019	Market Purchase	70,000	0.02	7,139,307	2.30
Add	27-12-2019	Market Purchase	116,325	0.04	7,255,632	2.34
Add	31-12-2019	Market Purchase	17,228	0.00	7,272,860	2.34
Add	03-01-2020	Market Purchase	354,198	0.12	7,627,058	2.46
Add	10-01-2020	Market Purchase	1,098,144	0.35	8,725,202	2.81
Add	17-01-2020	Market Purchase	155,984	0.05	8,881,186	2.86
Add	24-01-2020	Market Purchase	113,195	0.04	8,994,381	2.90
Add	31-01-2020	Market Purchase	957,280	0.31	9,951,661	3.21
Add	07-02-2020	Market Purchase	336,940	0.11	10,288,601	3.32
Add	14-02-2020	Market Purchase	261,128	0.08	10,549,729	3.40
Add	21-02-2020	Market Purchase	148,549	0.05	10,698,278	3.45
Add	28-02-2020	Market Purchase	424,265	0.14	11,122,543	3.59
Add	06-03-2020	Market Purchase	517,372	0.16	11,639,915	3.75
Add	13-03-2020	Market Purchase	33,517	0.01	11,673,432	3.76
Add	20-03-2020	Market Purchase	202,889	0.07	11,876,321	3.83
Add	27-03-2020	Market Purchase	419,542	0.13	12,295,863	3.96
Add	31-03-2020	Market Purchase	77,395	0.03	12,373,258	3.99
	At the end of the year (or on the date of separation, if separated during the year) (31-03-2020)		N.A.	N.A.	12,373,258	3.99
5	MATTHEWS INDIA FUND		12,644,736	4.09	12,644,736	4.09
Less	05-04-2019	Market Sale	(189,176)	(0.07)	12,455,560	4.02
Less	12-04-2019	Market Sale	(255,530)	(0.08)	12,200,030	3.94
Less	19-04-2019	Market Sale	(230,159)	(0.07)	11,969,871	3.87
Less	29-11-2019	Market Sale	(92,191)	(0.04)	11,877,680	3.83
Less	13-12-2019	Market Sale	(250,908)	(0.08)	11,626,772	3.75

Less	24-01-2020	Market Sale	(173,860)	(0.06)	11,452,912	3.69
Add	13-03-2020	Market Purchase	178,168	0.06	11,631,080	3.75
Less	27-03-2020	Market Sale	(598,449)	(0.19)	11,032,631	3.56
Less	31-03-2020	Market Sale	(114,286)	(0.04)	10,918,345	3.52
	At the end of the year (or on the date of separation, if separated during the year) (31-03-2020)		N.A.	N.A.	10,918,345	3.52
6	DSP SMALL CAP FUND AND OTHER ALL SCHEMES		9,108,062	2.94	9,108,062	2.94
Less	26-04-2019	Market Sale	(85,500)	(0.03)	9,022,562	2.91
Add	13-03-2020	Market Purchase	794,057	0.25	9,816,619	3.16
Add	20-03-2020	Market Purchase	146,972	0.05	9,963,591	3.21
Add	27-03-2020	Market Purchase	849,611	0.27	10,813,202	3.48
	At the end of the year (or on the date of separation, if separated during the year) (31-03-2020)		N.A.	N.A.	10,813,202	3.48
7	SUNDARAM MUTUAL FUND A/C SUNDARAM MIDCAP FUND AND OTHER ALL SCHEMES		7,573,463	2.45	7,573,463	2.45
Less	17-05-2019	Market Sale	(90,078)	(0.03)	7,483,385	2.42
Add	27-09-2019	Market Purchase	217,627	0.07	7,701,012	2.49
Add	11-10-2019	Market Purchase	49,592	0.01	7,750,604	2.50
Less	01-11-2019	Market Sale	(84,430)	(0.03)	7,666,174	2.47
Less	29-11-2019	Market Sale	(31,856)	(0.01)	7,634,318	2.46
Less	13-12-2019	Market Sale	(1,829)	(0.00)	7,632,489	2.46
Add	20-12-2019	Market Purchase	135,151	0.04	7,767,640	2.50
Add	28-02-2020	Market Purchase	126,588	0.04	7,894,228	2.54
Add	06-03-2020	Market Purchase	156,051	0.06	8,050,279	2.60
Add	13-03-2020	Market Purchase	98,234	0.03	8,148,513	2.63
Add	20-03-2020	Market Purchase	102,000	0.03	8,250,513	2.66
	At the end of the year (or on the date of separation, if separated during the year) (31-03-2020)		N.A.	N.A.	8,250,513	2.66
8	FRANKLIN INDIA SMALLER COMPANIES FUND AND OTHER ALL SCHEMES		6,249,096	2.02	6,249,096	2.02
Add	26-07-2019	Market Purchase	100,000	0.03	6,349,096	2.05
Add	01-11-2019	Market Purchase	400,000	0.13	6,749,096	2.18
Add	29-11-2019	Market Purchase	200,000	0.06	6,949,096	2.24
Add	06-12-2019	Market Purchase	62,319	0.02	7,011,415	2.26
Add	13-12-2019	Market Purchase	237,681	0.08	7,249,096	2.34
Add	28-02-2020	Market Purchase	69,079	0.02	7,318,175	2.36
Add	06-03-2020	Market Purchase	130,921	0.04	7,449,096	2.40

	At the end of the year (or on the date of separation, if separated during the year) (31-03-2020)		N.A.	N.A.	7,449,096	2.40
9	STEINBERG INDIA EMERGING OPPORTUNITIES FUND LIMITED		5,800,000	1.87	5,800,000	1.87
Less	10-05-2019	Market Sale	(241,000)	(0.08)	5,559,000	1.79
Less	31-05-2019	Market Sale	(257,902)	(0.08)	5,301,098	1.71
Less	07-06-2019	Market Sale	(59,826)	(0.02)	5,241,272	1.69
Less	29-06-2019	Market Sale	(314,552)	(0.10)	4,926,720	1.59
Less	05-07-2019	Market Sale	(43,000)	(0.01)	4,883,720	1.58
Less	12-07-2019	Market Sale	(206,000)	(0.07)	4,677,720	1.51
Add	04-10-2019	Market Purchase	470,094	0.15	5,147,814	1.66
Add	11-10-2019	Market Purchase	133,706	0.04	5,281,520	1.70
Add	13-12-2019	Market Purchase	376,000	0.12	5,657,520	1.82
Add	20-12-2019	Market Purchase	437,000	0.14	6,094,520	1.96
Add	27-03-2020	Market Purchase	409,994	0.14	6,504,514	2.10
	At the end of the year (or on the date of separation, if separated during the year) (31-03-2020)		N.A.	N.A.	6,504,514	2.10
10	TATA MUTUAL FUND- TATA EQUITY P/E FUND AND OTHER ALL SCHEMES		5,405,000	1.75	5,405,000	1.75
Add	26-04-2019	Market Purchase	90,000	0.03	5,495,000	1.77
Less	03-05-2019	Market Sale	(500,000)	(0.17)	4,995,000	1.61
Less	10-05-2019	Market Sale	(400,000)	(0.13)	4,595,000	1.48
Add	09-08-2019	Market Purchase	375,000	0.12	4,970,000	1.60
Add	06-09-2019	Market Purchase	3,925	0.00	4,973,925	1.60
Add	13-09-2019	Market Purchase	93	0.00	4,974,018	1.60
Add	20-09-2019	Market Purchase	86	0.00	4,974,104	1.60
Add	30-09-2019	Market Purchase	86	0.00	4,974,190	1.60
Add	11-10-2019	Market Purchase	42,844	0.02	5,017,034	1.62
Add	25-10-2019	Market Purchase	218,866	0.07	5,235,900	1.69
Add	01-11-2019	Market Purchase	145,466	0.05	5,381,366	1.74
Add	06-12-2019	Market Purchase	40,000	0.01	5,421,366	1.75
Add	20-12-2019	Market Purchase	400,000	0.13	5,821,366	1.88
Add	31-03-2020	Market Purchase	182,322	0.05	6,003,688	1.93
	At the end of the year (or on the date of separation, if separated during the year) (31-03-2020)		N.A.	N.A.	6,003,688	1.93

(v) Shareholding of Directors and Key Managerial Personnel:

Sr. No.	Shareholding of each Directors and each Key Managerial Personnel		Shareholding at the beginning of the year (01-04-2019)		Cumulative Shareholding during the year	
			No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	JAMAL JUSABALI PRADHAN		4,718	0.00	4,718	0.00
Add	02-08-2019	Market Purchase	5	0.00	4,723	0.00
	At the end of the year (or on the date of separation, if separated during the year) (31-03-2020)		N.A.	N.A.	4,723	0.00
2	NASSER MUNJEE		4,401	0.00	4,401	0.00
	At the End of the year (or on the date of separation, if separated during the year) (31-03-2019)		N.A.	N.A.	4,401	0.00
3	MURALI M. NATRAJAN		2,000,000	0.65	2,000,000	0.65
Add	28.03.2020	Esop Allotment	1,00,000	0.03	2,100,000	0.68
	At the end of the year (or on the date of separation, if separated during the year) (31-03-2020)		N.A.	N.A.	2,100,000	0.68
4	AMYN ASGARALI JASSANI (Date of Appointment of Director on 25-01-2020)		100*	0.00	100*	0.00
	At the end of the year (or on the date of separation, if separated during the year) (31-03-2020)		N.A.	N.A.	100	0.00
5	SHABBIR MERCHANT (Date of Appointment of Director on 25-01-2020)		850*	0.00	850*	0.00
	At the end of the year (or on the date of separation, if separated during the year) (31-03-2020)		N.A.	N.A.	850	0.00
6	RAFIQ R SOMANI (Date of Appointment of Director on 09-03-2020)		1,238*	0.00	1,238*	0.00
	At the end of the year (or on the date of separation, if separated during the year) (31-03-2020)		N.A.	N.A.	1,238	0.00

7	BHARAT LAXMIDAS SAMPAT		NIL	N.A.	NIL	N.A.
Add	07.08.2019	Esop Allotment	49,000	0.02	49,000	0.02
Less	19-08-2019	Market Sale	(49,000)	(0.02)	NIL	N.A.
Add	27.08.2019	Esop Allotment	25,000	0.01	25,000	0.01
	At the end of the year (or on the date of separation, if separated during the year) (31-03-2020)		N.A.	N.A.	25,000	0.01

* Holding since the Date of Appointment of Directors

V. INDEBTEDNESS

Since deposits accepted and borrowings taken are in the ordinary course of banking business, the disclosure is not applicable to the Bank.

VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director:

Sr. No.	Particulars of Remuneration	Name of MD & CEO Mr. Murali M. Natrajan	Total Amount
1.	Gross salary	(Rs.)	(Rs.)
(a)	Salary as per provisions contained in Section 17(1) of the Income-tax Act, 1961	54,909,135	54,909,135
(b)	Value of perquisites u/s 17(2) Income-tax Act, 1961	560,969	560,969
(c)	Profits in lieu of salary under Section 17(3) Income-tax Act, 1961	NIL	NIL
2.	Stock Options	NIL	NIL
3.	Sweat Equity	NIL	NIL
4.	Commission - as % of profit - others, specify...	NIL	NIL
5.	Others, please specify	NIL	NIL
	Total (A) *	55,470,104	55,470,104
	Ceiling as per the Act	DCB Bank being a Banking Company is regulated by RBI and the relevant provisions of the Companies Act, 2013 are not applicable.	

* The above figures includes employer's contribution towards Provident fund but excludes perquisite value of ESOPs exercised during the financial year 2019-20.

B. Remuneration to other directors:

Sr. No.	Particulars of Remuneration	Name of Directors	Total Amount (Rs.)
1.	Independent Directors		
	• Fee for attending Board / Committee meetings	Mr. Altaf Jiwani	780,000
		Mr. Ashok Barat	1,110,000
		Mr. Imran Contractor	1,090,000
		Mr. C. Narasimhan	1,970,000
		Ms. Rupa Devi Singh	1,950,000
		Mr. S. Sridhar	2,050,000
		Mr. Aryn Jassani	390,000
		Mr. Rafiq Somani	50,000
		Mr. Shabbir Merchant	100,000
		Mr. Somasundaram PR	NIL
	Non-Independent Directors		
	• Fee for attending Board / Committee meetings	Mr. Amin Manekia	1,710,000
		Mr. Shaffiq Dharamshi	NIL
		Mr. Iqbal Khan	330,000
		Mr. Jamal Pradhan	1,020,000
	• Commission		NIL
	• Others, please specify		NIL
	Total (1)		12,550,000
2.	Other Non-Executive Directors		
	• Fee for attending board / committee meetings		NIL
	• Commission		NIL
	• Others, please specify	Honorarium paid to Mr. Nasser Munjee - Non-executive Part time Chairman	2,400,000
	Total (2)		2,400,000
	Total (B)=(1+2)		14,950,000
	Total Managerial Remuneration	A + B	70,420,104
	Overall Ceiling as per the Act	DCB Bank being a banking company is regulated by RBI and as such relevant provisions of the Companies Act, 2013 are not applicable	

There are no Whole Time Directors or Manager in the Bank.

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD&CEO

Sr. No.	Particulars of Remuneration	Key Managerial Personnel		
		Company Secretary	CFO	Total
1.	Gross salary	(Rs.)	(Rs.)	(Rs')
(a)	Salary as per provisions contained in Section 17(1) of the Income-tax Act, 1961	2,463,963	18,234,579	20,698,542
(b)	Value of perquisites u/s 17(2) Income-tax Act, 1961	NIL	1,000	1,000
(c)	Profits in lieu of salary under Section 17(3) Income-tax Act, 1961	NIL	NIL	NIL
2.	Stock Option (Nos.)	NIL	NIL	NIL
3.	Sweat Equity	NIL	NIL	NIL
4.	Commission - as % of profit - others, specify...	NIL	NIL	NIL
5.	Others, please specify	NIL	NIL	NIL
	Total *	2,463,963	18,235,579	20,699,542

* The above figures includes bonus paid for financial year 2018-19 and employer's contribution towards Provident fund but excludes perquisite value of ESOPs exercised during the financial year 2019-20.

VII PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty			RBI vide its letter dated January 08 2020 directed the Bank to pay a penalty of Rs.0.01 crore in terms of RBI circular IDMD.DOD.17/11.01.01 (B)/2010-11 dated July 14, 2010, for having shortfall of security of Rs.10 crores in the SGL account ('SGL bouncing'), in one instance. The Bank paid the penalty on January 16, 2020.		
Punishment					
Compounding					
B. DIRECTORS					
Penalty			NIL		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty			NIL		
Punishment					
Compounding					