

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

<b>1</b>	<b>Name of Listed Entity:</b> DCB Bank Limited
<b>2</b>	<b>Scrip Code/Name of Scrip/Class of Security:</b> BSE: 532772, NSE: DCBBANK
<b>3</b>	<b>Share Holding Pattern Filed under:</b> Reg. 31(1)(b) for the Quarter ending 30-09-2020
a.	If under 31(1)(b) then indicate the report for Quarter ending
b.	If under 31(1)(c) then indicate date of allotment/extinguishment
<b>4</b>	<b>Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-</b>

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether the Listed Entity has issued any differential Voting Rights?		No
7	Whether the Listed Entity has issued any Warrants?		No
8	Whether the Listed Entity has any significant beneficial owner?		Yes

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

## DCB Bank Limited

**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Number of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+ (vi)	(viii)As a % of (A+B+C2)	(ix)				(x)	(xi)= (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)
(A)	Promoter & Promoter Group	2	46200234	0	0	46200234	14.8810	46200234	0	46200234	14.8810	0	14.8810	0	0.0000	0	0.0000	46200234
(B)	Public	191182	264265379	0	0	264265379	85.1190	264265379	0	264265379	85.1190	0	85.1190	0	0.0000	NA	NA	258628039
(C)	Non Promoter - Non Public				0				0			0		0	0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	<b>Total</b>	<b>191184</b>	<b>310465613</b>	<b>0</b>	<b>0</b>	<b>310465613</b>	<b>100.0000</b>	<b>310465613</b>	<b>0</b>	<b>310465613</b>	<b>100.0000</b>	<b>0</b>	<b>100.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>304828273</b>

## DCB Bank Limited

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
<b>1</b>	<b>Indian</b>																		
(a)	Individuals / Hindu Undivided Family		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		1	2450182	0	0	2450182	0.7892	2450182	0	2450182	0.7892	0	0.7892	0	0.0000	0	0.0000	2450182
	Bodies Corporate		1	2450182	0	0	2450182	0.7892	2450182	0	2450182	0.7892	0	0.7892	0	0.0000	0	0.0000	2450182
	Platinum Jubilee Investments Ltd.		1	2450182	0	0	2450182	0.7892	2450182	0	2450182	0.7892	0	0.7892	0	0.0000	0	0.0000	2450182
	<b>Sub Total (A)(1)</b>		<b>1</b>	<b>2450182</b>	<b>0</b>	<b>0</b>	<b>2450182</b>	<b>0.7892</b>	<b>2450182</b>	<b>0</b>	<b>2450182</b>	<b>0.7892</b>	<b>0</b>	<b>0.7892</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>2450182</b>
<b>2</b>	<b>Foreign</b>																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)		1	43750052	0	0	43750052	14.0918	43750052	0	43750052	14.0918	0	14.0918	0	0.0000	0	0.0000	43750052
	Bodies Corporate		1	43750052	0	0	43750052	14.0918	43750052	0	43750052	14.0918	0	14.0918	0	0.0000	0	0.0000	43750052
	Aga Khan Fund For Economic Development SA		1	43750052	0	0	43750052	14.0918	43750052	0	43750052	14.0918	0	14.0918	0	0.0000	0	0.0000	43750052
	<b>Sub Total (A)(2)</b>		<b>1</b>	<b>43750052</b>	<b>0</b>	<b>0</b>	<b>43750052</b>	<b>14.0918</b>	<b>43750052</b>	<b>0</b>	<b>43750052</b>	<b>14.0918</b>	<b>0</b>	<b>14.0918</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>43750052</b>
	<b>Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)</b>		<b>2</b>	<b>46200234</b>	<b>0</b>	<b>0</b>	<b>46200234</b>	<b>14.8810</b>	<b>46200234</b>	<b>0</b>	<b>46200234</b>	<b>14.8810</b>	<b>0</b>	<b>14.8810</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>46200234</b>

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.: NIL

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations,

**DCB Bank Limited**

**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding & convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
														(IX)	(X)	(XII)			(XIII)
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
<b>1</b>	<b>Institutions</b>																		
(a)	<b>Mutual Fund</b>		<b>15</b>	<b>81850092</b>	<b>0</b>	<b>0</b>	<b>81850092</b>	<b>26.3637</b>	<b>81850092</b>	<b>0</b>	<b>81850092</b>	<b>26.3637</b>	<b>0</b>	<b>26.3637</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>81850092</b>
	ICICI Prudential Banking And Financial Services Fund			13968590			13968590	4.4992	13968590	0	13968590	4.4992	0	4.4992	0	0.0000	NA	NA	13968590
	HDFC Small Cap Fund			13742586	0	0	13742586	4.4264	13742586	0	13742586	4.4264	0	4.4264	0	0.0000	NA	NA	13742586
	Aditya Birla Sun Life Trustee Private Limited A/c Aditya Birla Sun Life Small Cap Fund			12611582	0	0	12611582	4.0622	12611582	0	12611582	4.0622	0	4.0622	0	0.0000	NA	NA	12611582
	DSP Small Cap Fund			10634173	0	0	10634173	3.4252	10634173	0	10634173	3.4252	0	3.4252	0	0.0000	NA	NA	10634173
	Franklin India Smaller Companies Fund			9349096			9349096	3.0113	9349096	0	9349096	3.0113	0	3.0113	0	0.0000	NA	NA	9349096
	Sundaram Mutual Fund A/C Sundaram Midcap Fund			6210638	0	0	6210638	2.0004	6210638	0	6210638	2.0004	0	2.0004	0	0.0000	NA	NA	6210638
	Tata Mutual Fund- Tata Equity P/E Fund			6114600			6114600	1.9695	6114600	0	6114600	1.9695	0	1.9695	0	0.0000	NA	NA	6114600
	L&T Mutual Fund Trustee Limited- L&T Emerging Businesses Fund			3477650			3477650	1.1201	3477650	0	3477650	1.1201	0	1.1201	0	0.0000	NA	NA	3477650
(b)	<b>Venture Capital Funds</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
(c)	<b>Alternate Investment Funds</b>		<b>10</b>	<b>33023970</b>	<b>0</b>	<b>0</b>	<b>33023970</b>	<b>10.6369</b>	<b>33023970</b>	<b>0</b>	<b>33023970</b>	<b>10.6369</b>	<b>0</b>	<b>10.6369</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>33023970</b>
	India Advantage Fund S4 I			12473881	0	0	12473881	4.0178	12473881	0	12473881	4.0178	0	4.0178	0	0.0000	NA	NA	12473881
	Oman India Joint Investment Fund II			9198202	0	0	9198202	2.9627	9198202	0	9198202	2.9627	0	2.9627	0	0.0000	NA	NA	9198202
	TVS Shriram Growth Fund 3			6785020	0	0	6785020	2.1854	6785020	0	6785020	2.1854	0	2.1854	0	0.0000	NA	NA	6785020
(d)	<b>Foreign Venture Capital Investors</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
(e)	<b>Foreign Portfolio Investor</b>		<b>94</b>	<b>44822923</b>	<b>0</b>	<b>0</b>	<b>44822923</b>	<b>14.4373</b>	<b>44822923</b>	<b>0</b>	<b>44822923</b>	<b>14.4373</b>	<b>0</b>	<b>14.4373</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>44822923</b>
	Steinberg India Emerging Opportunities Fund Limited			8000000	0	0	8000000	2.5768	8000000	0	8000000	2.5768	0	2.5768	0	0.0000	NA	NA	8000000
	Matthews India Fund			5440926	0	0	5440926	1.7525	5440926	0	5440926	1.7525	0	1.7525	0	0.0000	NA	NA	5440926
	Tano Mauritius India FVCI II			4985190	0	0	4985190	1.6057	4985190	0	4985190	1.6057	0	1.6057	0	0.0000	NA	NA	4985190
	Apax Global Alpha Limited			3773000	0	0	3773000	1.2153	3773000	0	3773000	1.2153	0	1.2153	0	0.0000	NA	NA	3773000
(f)	<b>Financial Institutions / Banks</b>		<b>1</b>	<b>13</b>	<b>0</b>	<b>0</b>	<b>13</b>	<b>0.0000</b>	<b>13</b>	<b>0</b>	<b>13</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>13</b>
(g)	<b>Insurance Companies</b>		<b>6</b>	<b>2124264</b>	<b>0</b>	<b>0</b>	<b>2124264</b>	<b>0.6842</b>	<b>2124264</b>	<b>0</b>	<b>2124264</b>	<b>0.6842</b>	<b>0</b>	<b>0.6842</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>2124264</b>
(h)	<b>Provident Funds/ Pension Funds</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
(i)	<b>Any Other (Specify)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
	<b>Sub Total (B)(1)</b>		<b>126</b>	<b>161821262</b>	<b>0</b>	<b>0</b>	<b>161821262</b>	<b>52.1221</b>	<b>161821262</b>	<b>0</b>	<b>161821262</b>	<b>52.1221</b>	<b>0</b>	<b>52.1221</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>161821262</b>
<b>2</b>	<b>Central Government/ State Government(s)/ President of India</b>																		
	Central Government / State Government(s)			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	<b>Sub Total (B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>0</b>

3	Non-Institutions																	
(a)	Individuals	183933	75607429			75607429	24.3529	75607429		75607429	24.3529		24.3529		0.0000			70197010
	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	183688	61225165	0	0	61225165	19.7204	61225165	0	61225165	19.7204	0	19.7204	0	0.0000	NA	NA	55814947
	ii Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	245	14382264	0	0	14382264	4.6325	14382264	0	14382264	4.6325	0	4.6325	0	0.0000	NA	NA	14382063
(b)	NBFCs registered with RBI	3	6710	0	0	6710	0.0022	6710	0	6710	0.0022	0	0.0022	0	0.0000	NA	NA	6710
(c)	Employee Trusts	1	1000	0	0	1000	0.0003	1000	0	1000	0.0003	0	0.0003	0	0.0000	NA	NA	1000
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Any Other (Specify)	7119	26828978	0	0	26828978	8.6415	26828978	0	26828978	8.6415	0	8.6415	0	0.0000	NA	NA	26602057
	Trusts	6	16582	0	0	16582	0.0053	16582	0	16582	0.0053	0	0.0053	0	0.0000	NA	NA	15552
	Hindu Undivided Family	2879	2113370	0	0	2113370	0.6807	2113370	0	2113370	0.6807	0	0.6807	0	0.0000	NA	NA	2112925
	Directors/Relative of Directors	14	2114599	0	0	2114599	0.6811	2114599	0	2114599	0.6811	0	0.6811	0	0.0000	NA	NA	2111876
	Employee	307	1890633	0	0	1890633	0.6090	1890633	0	1890633	0.6090	0	0.6090	0	0.0000	NA	NA	1864720
	Non Resident Indians	2714	4252803	0	0	4252803	1.3698	4252803	0	4252803	1.3698	0	1.3698	0	0.0000	NA	NA	4251053
	Clearing Member	151	1348968	0	0	1348968	0.4345	1348968	0	1348968	0.4345	0	0.4345	0	0.0000	NA	NA	1348968
	Bodies Corporate	1046	14379405	0	0	14379405	4.6316	14379405	0	14379405	4.6316	0	4.6316	0	0.0000	NA	NA	14184345
	IEPF	1	712495	0	0	712495	0.2295	712495	0	712495	0.2295	0	0.2295	0	0.0000	NA	NA	712495
	Enemy property	1	123	0	0	123	0.0000	123	0	123	0.0000	0	0.0000	0	0.0000	NA	NA	123
	Sub Total (B)(3)	191056	102444117	0	0	102444117	32.9969	102444117	0	102444117	32.9969	0	32.9969	0	0.0000	NA	NA	96806777
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	191182	264265379	0	0	264265379	85.1190	264265379	0	264265379	85.1190	0	85.1190	0	0.0000	NA	NA	258628039

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares	No. of Shares
0	0	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

## DCB Bank Limited

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the shareholders	Nos. of share holders	No. of fully paid up equity shares held	Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
							Class eg: X	Class eg: y	Total								
(i)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+ (vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi)= (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
1 Custodian/DR Holder	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

**Note:**

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

## DCB Bank Limited

Table V- Statement showing details of significant beneficial owners (SBOs)

Sr. No.	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
<b>Details of the significant beneficial owner (SBO)</b>										
	His Highness Karim Aga Khan		GBR505432167	United Kindom	14.09	14.09	14.09			
<b>Details of the registered owner</b>										
	Aga Khan Fund for Economic Development S.A.	AAACA4172G		Switzerland	14.09	14.09	14.09	Yes	Yes	03.01.1996