

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: DCB Bank Limited
2	Scrip Code/Name of Scrip/Class of Security: BSE: 532772, NSE: DCBBANK
3	Share Holding Pattern Filed under: Reg. 31(1)(b) for the Quarter ending 30-06-2020
a.	If under 31(1)(b) then indicate the report for Quarter ending
b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether the Listed Entity has issued any differential Voting Rights?		No
7	Whether the Listed Entity has issued any Warrants?		No
8	Whether the Listed Entity has any significant beneficial owner?		Yes

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

DCB Bank Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	2	46200234	0	0	46200234	14.8829	46200234	0	46200234	14.8829	0	14.8829	0	0.0000	0	0.0000	46200234
(B)	Public	199189	264224029	0	0	264224029	85.1171	264224029	0	264224029	85.1171	0	85.1171	0	0.0000	NA	NA	257859244
(C)	Non Promoter - Non Public				0				0			0		0.0000	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	199191	310424263	0	0	310424263	100.0000	310424263	0	310424263	100.0000	0	100.0000	0	0.0000	0	0.0000	304059478

DCB Bank Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+ (vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi)= (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
1	Indian																		
(a)	Individuals / Hindu Undivided Family		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		1	2450182	0	0	2450182	0.7893	2450182	0	2450182	0.7893	0	0.7893	0	0.0000	0	0.0000	2450182
	Bodies Corporate		1	2450182	0	0	2450182	0.7893	2450182	0	2450182	0.7893	0	0.7893	0	0.0000	0	0.0000	2450182
	Platinum Jubilee Investments Ltd.		1	2450182	0	0	2450182	0.7893	2450182	0	2450182	0.7893	0	0.7893	0	0.0000	0	0.0000	2450182
	Sub Total (A)(1)		1	2450182	0	0	2450182	0.7893	2450182	0	2450182	0.7893	0	0.7893	0	0.0000	0	0.0000	2450182
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)		1	43750052	0	0	43750052	14.0936	43750052	0	43750052	14.0936	0	14.0936	0	0.0000	0	0.0000	43750052
	Bodies Corporate		1	43750052	0	0	43750052	14.0936	43750052	0	43750052	14.0936	0	14.0936	0	0.0000	0	0.0000	43750052
	Aga Khan Fund For Economic Development SA		1	43750052	0	0	43750052	14.0936	43750052	0	43750052	14.0936	0	14.0936	0	0.0000	0	0.0000	43750052
	Sub Total (A)(2)		1	43750052	0	0	43750052	14.0936	43750052	0	43750052	14.0936	0	14.0936	0	0.0000	0	0.0000	43750052
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		2	46200234	0	0	46200234	14.8829	46200234	0	46200234	14.8829	0	14.8829	0	0.0000	0	0.0000	46200234

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.: NIL

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers)

DCB Bank Limited

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
									Class eg: X	Class eg: y									Total
									(I)	(II)	(III)			(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)		(VIII) As a % of (A+B+C2)
1	Institutions																		
(a)	Mutual Fund		15	86245886	0	0	86245886	27.7832	86245886	0	86245886	27.7832	0	27.7832	0	0.0000	NA	NA	86245886
	Aditya Birla Sun Life Trustee Private Limited A/c Aditya Birla Sun Life Small Cap Fund			15006262	0	0	15006262	4.8341	15006262	0	15006262	4.8341	0	4.8341	0	0.0000	NA	NA	15006262
	ICICI Prudential Banking And Financial Services Fund			14089150	0	0	14089150	4.5387	14089150	0	14089150	4.5387	0	4.5387	0	0.0000	NA	NA	14089150
	HDFC Small Cap Fund			13742586	0	0	13742586	4.4270	13742586	0	13742586	4.4270	0	4.4270	0	0.0000	NA	NA	13742586
	DSP Small Cap Fund			10634173	0	0	10634173	3.4257	10634173	0	10634173	3.4257	0	3.4257	0	0.0000	NA	NA	10634173
	Franklin India Smaller Companies Fund			9349096	0	0	9349096	3.0117	9349096	0	9349096	3.0117	0	3.0117	0	0.0000	NA	NA	9349096
	Sundaram Mutual Fund A/C Sundaram Midcap Fund			9029964	0	0	9029964	2.9089	9029964	0	9029964	2.9089	0	2.9089	0	0.0000	NA	NA	9029964
	Tata Mutual Fund- Tata Equity P/E Fund			6114600	0	0	6114600	1.9698	6114600	0	6114600	1.9698	0	1.9698	0	0.0000	NA	NA	6114600
	L&T Mutual Fund Trustee Limited- L&T Emerging Businesses Fund			3477650	0	0	3477650	1.1203	3477650	0	3477650	1.1203	0	1.1203	0	0.0000	NA	NA	3477650
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		10	23669559	0	0	23669559	7.6249	23669559	0	23669559	7.6249	0	7.6249	0	0.0000	NA	NA	23669559
	India Advantage Fund S4 I			12473881	0	0	12473881	4.0183	12473881	0	12473881	4.0183	0	4.0183	0	0.0000	NA	NA	12473881
	TVS Shriram Growth Fund 3			4366368	0	0	4366368	1.4066	4366368	0	4366368	1.4066	0	1.4066	0	0.0000	NA	NA	4366368
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		100	46804433	0	0	46804433	15.0776	46804433	0	46804433	15.0776	0	15.0776	0	0.0000	NA	NA	46804433
	Steinberg India Emerging Opportunities Fund Limited			7100000	0	0	7100000	2.2872	7100000	0	7100000	2.2872	0	2.2872	0	0.0000	NA	NA	7100000
	Matthews India Fund			6122208	0	0	6122208	1.9722	6122208	0	6122208	1.9722	0	1.9722	0	0.0000	NA	NA	6122208
	Tano Mauritius India FVCI II			5485190	0	0	5485190	1.7670	5485190	0	5485190	1.7670	0	1.7670	0	0.0000	NA	NA	5485190
	Apax Global Alpha Limited			3773000	0	0	3773000	1.2154	3773000	0	3773000	1.2154	0	1.2154	0	0.0000	NA	NA	3773000
(f)	Financial Institutions / Banks		2	638336	0	0	638336	0.2056	638336	0	638336	0.2056	0	0.2056	0	0.0000	NA	NA	638336
(g)	Insurance Companies		4	969638	0	0	969638	0.3124	969638	0	969638	0.3124	0	0.3124	0	0.0000	NA	NA	969638
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		131	158327852	0	0	158327852	51.0037	158327852	0	158327852	51.0037	0	51.0037	0	0.0000	NA	NA	158327852
2	Central Government/ State Government(s)/ President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

3	Non-Institutions																	
(a)	Individuals	191534	79968091			79968091	25.7609	79968091		79968091	25.7609		25.7609		0.0000			73864892
	i Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	191309	65887730	0	0	65887730	21.2251	65887730	0	65887730	21.2251	0	21.2251	0	0.0000	NA	NA	59784732
	ii Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	225	14080361	0	0	14080361	4.5358	14080361	0	14080361	4.5358	0	4.5358	0	0.0000	NA	NA	14080160
	(b) NBFCs registered with RBI	2	5210	0	0	5210	0.0017	5210	0	5210	0.0017	0	0.0017	0	0.0000	NA	NA	5210
	(c) Employee Trusts	1	1000	0	0	1000	0.0003	1000	0	1000	0.0003	0	0.0003	0	0.0000	NA	NA	1000
	(d) Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	(e) Any Other (Specify)	7521	25921876	0	0	25921876	8.3504	25921876	0	25921876	8.3504	0	8.3504	0	0.0000	NA	NA	25660290
	Trusts	9	32537	0	0	32537	0.0105	32537	0	32537	0.0105	0	0.0105	0	0.0000	NA	NA	31502
	Foreign Nationals	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Hindu Undivided Family	2871	2038879	0	0	2038879	0.6568	2038879	0	2038879	0.6568	0	0.6568	0	0.0000	NA	NA	2038879
	Foreign Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Directors/Relative of Directors	14	2114424	0	0	2114424	0.6811	2114424	0	2114424	0.6811	0	0.6811	0	0.0000	NA	NA	2111701
	Employee	308	1884791	0	0	1884791	0.6072	1884791	0	1884791	0.6072	0	0.6072	0	0.0000	NA	NA	1858878
	Non Resident Indians	2829	4492816	0	0	4492816	1.4473	4492816	0	4492816	1.4473	0	1.4473	0	0.0000	NA	NA	4490066
	Foreign Portfolio Investor (Individual)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Clearing Member	222	2076426	0	0	2076426	0.6689	2076426	0	2076426	0.6689	0	0.6689	0	0.0000	NA	NA	2076426
	Enemy Property	26	123	0	0	123	0.0000	123	0	123	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Body Corp-Ltd Liability Partnership	1	200	0	0	200	0.0001	200	0	200	0.0001	0	0.0001	0	0.0000	NA	NA	200
	Bodies Corporate	1241	13281680	0	0	13281680	4.2786	13281680	0	13281680	4.2786	0	4.2786	0	0.0000	NA	NA	13052638
	Sub Total (B)(3)	199058	105896177	0	0	105896177	34.1134	105896177	0	105896177	34.1134	0	34.1134	0	0.0000	NA	NA	99531392
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	199189	264224029	0	0	264224029	85.1171	264224029	0	264224029	85.1171	0	85.1171	0	0.0000	NA	NA	257859244

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares	No. of Shares
0	0	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

DCB Bank Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	Nos. of share holders	No. of fully paid up equity shares held	Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding & convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
							No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
							Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1 Custodian/DR Holder	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

DCB Bank Limited

Table V- Statement showing details of significant beneficial owners (SBOs)

Sr . No.	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
Details of the significant beneficial owner (SBO)										
	His Highness Karim Aga Khan		GBR505432167	United Kindom	14.09	14.09	14.09			
Details of the registered owner										
	Aga Khan Fund for Economic Development S.A.	AAACA4172G		Switzerland	14.09	14.09	14.09	Yes	Yes	03.01.1996