

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: DCB Bank Limited	
2	Scrip Code/Name of Scrip/Class of Security: BSE: 532772, NSE: DCBBANK	
3	Share Holding Pattern Filed under: Reg. 31(1)(b) for the Quarter ending 31-03-2021	
	a.	If under 31(1)(b) then indicate the report for Quarter ending
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether the Listed Entity has issued any differential Voting Rights?		No
7	Whether the Listed Entity has issued any Warrants?		No
8	Whether the Listed Entity has any significant beneficial owner?		Yes

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

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Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: y									Total
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+ (vi)	(viii)As a % of (A+B+C2)	(ix)			(x)	(xi)= (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
(A)	Promoter & Promoter Group	2	46200234	0	0	46200234	14.8776	46200234	0	46200234	14.8776	0	14.8776	0	0.0000	0	0.0000	46200234
(B)	Public	178346	264335879	0	0	264335879	85.1224	264335879	0	264335879	85.1224	0	85.1224	0	0.0000	NA	NA	258750460
(C)	Non Promoter - Non Public				0				0			0		0.0000	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	178348	310536113	0	0	310536113	100.0000	310536113	0	310536113	100.0000	0	100.0000	0	0.0000	0	0.0000	304950694

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Indian																		
(a) Individuals / Hindu Undivided Family		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b) Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Any Other (Specify)		1	2450182	0	0	2450182	0.7890	2450182	0	2450182	0.7890	0	0.7890	0	0.0000	0	0.0000	2450182
Bodies Corporate		1	2450182	0	0	2450182	0.7890	2450182	0	2450182	0.7890	0	0.7890	0	0.0000	0	0.0000	2450182
Platinum Jubilee Investments Ltd.		1	2450182	0	0	2450182	0.7890	2450182	0	2450182	0.7890	0	0.7890	0	0.0000	0	0.0000	2450182
Sub Total (A)(1)		1	2450182	0	0	2450182	0.7890	2450182	0	2450182	0.7890	0	0.7890	0	0.0000	0	0.0000	2450182
2 Foreign																		
(a) Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b) Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e) Any Other (Specify)		1	43750052	0	0	43750052	14.0886	43750052	0	43750052	14.0886	0	14.0886	0	0.0000	0	0.0000	43750052
Bodies Corporate		1	43750052	0	0	43750052	14.0886	43750052	0	43750052	14.0886	0	14.0886	0	0.0000	0	0.0000	43750052
Aga Khan Fund For Economic Development SA		1	43750052	0	0	43750052	14.0886	43750052	0	43750052	14.0886	0	14.0886	0	0.0000	0	0.0000	43750052
Sub Total (A)(2)		1	43750052	0	0	43750052	14.0886	43750052	0	43750052	14.0886	0	14.0886	0	0.0000	0	0.0000	43750052
Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		2	46200234	0	0	46200234	14.8776	46200234	0	46200234	14.8776	0	14.8776	0	0.0000	0	0.0000	46200234

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.: NIL

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers)

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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Institutions																		
(a)	Mutual Fund		20	85020337	0	0	85020337	27.3786	85020337	0	85020337	27.3786	0	27.3786	0	0.0000	NA	NA	85020337
	HDFC Small Cap Fund			13742586	0	0	13742586	4.4254	13742586	0	13742586	4.4254	0	4.4254	0	0.0000	NA	NA	13742586
	ICICI Prudential Banking And Financial Services Fund			13614393	0	0	13614393	4.3842	13614393	0	13614393	4.3842	0	4.3842	0	0.0000	NA	NA	13614393
	DSP Small Cap Fund			10634173	0	0	10634173	3.4245	10634173	0	10634173	3.4245	0	3.4245	0	0.0000	NA	NA	10634173
	ITPL - Invesco India Contra Fund			10246888	0	0	10246888	3.2997	10246888	0	10246888	3.2997	0	3.2997	0	0.0000	NA	NA	10246888
	Franklin India Smaller Companies Fund			9949095	0	0	9949095	3.2038	9949095	0	9949095	3.2038	0	3.2038	0	0.0000	NA	NA	9949095
	Aditya Birla Sun Life Trustee Private Limited A/c Aditya Birla Sun Life Small Cap Fund			9909355	0	0	9909355	3.1910	9909355	0	9909355	3.1910	0	3.1910	0	0.0000	NA	NA	9909355
	L&T Mutual Fund Trustee Limited-L&T Emerging Businesses Fund			3477650	0	0	3477650	1.1199	3477650	0	3477650	1.1199	0	1.1199	0	0.0000	NA	NA	3477650
	Nippon Life India Trustee Ltd-A/C Nippon India Retirement Fund - Wealth Creation Scheme			3330516	0	0	3330516	1.0725	3330516	0	3330516	1.0725	0	1.0725	0	0.0000	NA	NA	3330516
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		12	31947908	0	0	31947908	10.2880	31947908	0	31947908	10.2880	0	10.2880	0	0.0000	NA	NA	31947908
	India Advantage Fund S4 I			12473881	0	0	12473881	4.0169	12473881	0	12473881	4.0169	0	4.0169	0	0.0000	NA	NA	12473881
	Oman India Joint Investment Fund II			9198202	0	0	9198202	2.9620	9198202	0	9198202	2.9620	0	2.9620	0	0.0000	NA	NA	9198202
	TVS Shriram Growth Fund 3			6785020	0	0	6785020	2.1849	6785020	0	6785020	2.1849	0	2.1849	0	0.0000	NA	NA	6785020
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		100	40496167	0	0	40496167	13.0407	40496167	0	40496167	13.0407	0	13.0407	0	0.0000	NA	NA	40496167
	Steinberg India Emerging Opportunities Fund Limited			8000000	0	0	8000000	2.5762	8000000	0	8000000	2.5762	0	2.5762	0	0.0000	NA	NA	8000000
	Matthews India Fund			4854216	0	0	4854216	1.5632	4854216	0	4854216	1.5632	0	1.5632	0	0.0000	NA	NA	4854216
(f)	Financial Institutions / Banks		1	13	0	0	13	0.0000	13	0	13	0.0000	0	0.0000	0	0.0000	NA	NA	13
(g)	Insurance Companies		7	8929685	0	0	8929685	2.8756	8929685	0	8929685	2.8756	0	2.8756	0	0.0000	NA	NA	8929685
	Aditya Birla Sun Life Insurance Company Limited			3885576	0	0	3885576	1.2512	3885576	0	3885576	1.2512	0	1.2512	0	0.0000	NA	NA	3885576
	Bajaj Allianz Life Insurance Company Ltd.			3409000	0	0	3409000	1.0978	3409000	0	3409000	1.0978	0	1.0978	0	0.0000	NA	NA	3409000
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		140	166394110	0	0	166394110	53.5829	166394110	0	166394110	53.5829	0	53.5829	0	0.0000	NA	NA	166394110
2	Central Government/ State Government(s)/ President of India																		
	Central Government / State Government(s)			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

3	Non-Institutions																		
(a)	Individuals	171595	69531134			69531134	22.3907	69531134		69531134	22.3907		22.3907		0.0000				64170293
	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	171381	54514011	0	0	54514011	17.5548	54514011	0	54514011	17.5548	0	17.5548	0	0.0000	NA	NA		49153371
	ii Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	214	15017123	0	0	15017123	4.8359	15017123	0	15017123	4.8359	0	4.8359	0	0.0000	NA	NA		15016922
(b)	NBFCs registered with RBI	3	6650	0	0	6650	0.0021	6650	0	6650	0.0021	0	0.0021	0	0.0000	NA	NA		6650
(c)	Employee Trusts	1	1000	0	0	1000	0.0003	1000	0	1000	0.0003	0	0.0003	0	0.0000	NA	NA		1000
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA		0
(e)	Any Other (Specify)	6607	28402985	0	0	28402985	9.1464	28402985	0	28402985	9.1464	0	9.1464	0	0.0000	NA	NA		28178407
	Bodies Corporate	899	16485828	0	0	16485828	5.3088	16485828	0	16485828	5.3088	0	5.3088	0	0.0000	NA	NA		16291968
	Clearing Member	147	1488461	0	0	1488461	0.4793	1488461	0	1488461	0.4793	0	0.4793	0	0.0000	NA	NA		1488461
	Directors/Relative of Directors	10	2108576	0	0	2108576	0.6790	2108576	0	2108576	0.6790	0	0.6790	0	0.0000	NA	NA		2106996
	Employee	292	1907188	0	0	1907188	0.6142	1907188	0	1907188	0.6142	0	0.6142	0	0.0000	NA	NA		1881275
	Foreign Portfolio Investor (Individual)	1	1390	0	0	1390	0.0004	1390	0	1390	0.0004	0	0.0004	0	0.0000	NA	NA		1390
	Hindu Undivided Family	2618	1900418	0	0	1900418	0.6120	1900418	0	1900418	0.6120	0	0.6120	0	0.0000	NA	NA		1899973
	IEPF	1	712495	0	0	712495	0.2294	712495	0	712495	0.2294	0	0.2294	0	0.0000	NA	NA		712495
	Non Resident Indians	2634	3783529	0	0	3783529	1.2184	3783529	0	3783529	1.2184	0	1.2184	0	0.0000	NA	NA		3781779
	Trusts	5	15100	0	0	15100	0.0049	15100	0	15100	0.0049	0	0.0049	0	0.0000	NA	NA		14070
	Sub Total (B)(3)	178206	97941769	0	0	97941769	31.5396	97941769	0	97941769	31.5396	0	31.5396	0	0.0000	NA	NA		92356350
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	178346	264335879	0	0	264335879	85.1224	264335879	0	264335879	85.1224	0	85.1224	0	0.0000	NA	NA		258750460

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares	No. of Shares
0	0	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
							No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
							Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1 Custodian/DR Holder	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

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Table V- Statement showing details of significant beneficial owners (SBOs)

Sr . No.	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
Details of the significant beneficial owner (SBO)										
	His Highness Karim Aga Khan		GBR505432167	United Kindom	14.09	14.09	14.09			
Details of the registered owner										
	Aga Khan Fund for Economic Development S.A.	AAACA4172G		Switzerland	14.09	14.09	14.09	Yes	Yes	03.01.1996