

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: DCB Bank Limited	
2	S	
3	Share Holding Pattern Filed under: Reg. 31(1)(b) for the Quarter ending 31-03-2020	
	a.	If under 31(1)(b) then indicate the report for Quarter ending
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether the Listed Entity has issued any differential Voting Rights?		No
7	Whether the Listed Entity has issued any Warrants?		No
8	Whether the Listed Entity has any significant beneficial owner?		Yes

*** If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.**

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Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	2	46200234	0	0	46200234	14.8834	46200234	0	46200234	14.8834	0	14.8834	0	0.0000	0	0.0000	46200234
(B)	Public	168979	264215179	0	0	264215179	85.1166	264215179	0	264215179	85.1166	0	85.1166	0	0.0000	NA	NA	257843797
(C)	Non Promoter - Non Public				0				0			0			0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	168981	310415413	0	0	310415413	100.0000	310415413	0	310415413	100.0000	0	100.0000	0	0.0000	0	0.0000	304044031

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)				(XI) = (VII)+(X) As a % of (A+B+C2)			(XIV)					
1	Indian																		
(a)	Individuals / Hindu Undivided Family		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		1	2450182	0	0	2450182	0.7893	2450182	0	2450182	0.7893	0	0.7893	0	0.0000	0	0.0000	2450182
	Bodies Corporate		1	2450182	0	0	2450182	0.7893	2450182	0	2450182	0.7893	0	0.7893	0	0.0000	0	0.0000	2450182
	Platinum Jubilee Investments Ltd.		1	2450182	0	0	2450182	0.7893	2450182	0	2450182	0.7893	0	0.7893	0	0.0000	0	0.0000	2450182
	Sub Total (A)(1)		1	2450182	0	0	2450182	0.7893	2450182	0	2450182	0.7893	0	0.7893	0	0.0000	0	0.0000	2450182
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)		1	43750052	0	0	43750052	14.0940	43750052	0	43750052	14.0940	0	14.0940	0	0.0000	0	0.0000	43750052
	Bodies Corporate		1	43750052	0	0	43750052	14.0940	43750052	0	43750052	14.0940	0	14.0940	0	0.0000	0	0.0000	43750052
	Aga Khan Fund For Economic Development SA		1	43750052	0	0	43750052	14.0940	43750052	0	43750052	14.0940	0	14.0940	0	0.0000	0	0.0000	43750052
	Sub Total (A)(2)		1	43750052	0	0	43750052	14.0940	43750052	0	43750052	14.0940	0	14.0940	0	0.0000	0	0.0000	43750052
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		2	46200234	0	0	46200234	14.8834	46200234	0	46200234	14.8834	0	14.8834	0	0.0000	0	0.0000	46200234

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.: NIL

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and

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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (X)	Shareholding %, as a % assuming full conversion of convertible securities (VII)+(X) As a % of	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: Y	Total								
									(IX)						(XII)	(XIII)			(XIV)
1	Institutions																		
(a)	Mutual Fund		21	83334993	0	0	83334993	26.8463	83334993	0	83334993	26.8463	0	26.8463	0	0.0000	NA	NA	83334993
	Aditya Birla Sun Life Trustee Private Limited A/c Aditya Birla Sun Life Small Cap Fund			13881066	0	0	13881066	4.4718	13881066	0	13881066	4.4718	0	4.4718	0	0.0000	NA	NA	13881066
	HDFC Small Cap Fund			13742586	0	0	13742586	4.4272	13742586	0	13742586	4.4272	0	4.4272	0	0.0000	NA	NA	13742586
	ICICI Prudential Banking And Financial Services Fund			12373258			12373258	3.9860	12373258	0	12373258	3.9860	0	3.9860	0	0.0000	NA	NA	12373258
	DSP Small Cap Fund			10813202	0	0	10813202	3.4835	10813202	0	10813202	3.4835	0	3.4835	0	0.0000	NA	NA	10813202
	Sundaram Mutual Fund A/C Sundaram Midcap Fund			8250513	0	0	8250513	2.6579	8250513	0	8250513	2.6579	0	2.6579	0	0.0000	NA	NA	8250513
	Franklin India Smaller Companies Fund			7449096			7449096	2.3997	7449096	0	7449096	2.3997	0	2.3997	0	0.0000	NA	NA	7449096
	Tata Mutual Fund- Tata Equity P/E Fund			6003688			6003688	1.9341	6003688	0	6003688	1.9341	0	1.9341	0	0.0000	NA	NA	6003688
	L&T Mutual Fund Trustee Limited-L&T Emerging Businesses Fund			5477765			5477765	1.7647	5477765	0	5477765	1.7647	0	1.7647	0	0.0000	NA	NA	5477765
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		13	22159951	0	0	22159951	7.1388	22159951	0	22159951	7.1388	0	7.1388	0	0.0000	NA	NA	22159951
	India Advantage Fund S4 I			12473881	0	0	12473881	4.0184	12473881	0	12473881	4.0184	0	4.0184	0	0.0000	NA	NA	12473881
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		123	70846608	0	0	70846608	22.8232	70846608	0	70846608	22.8232	0	22.8232	0	0.0000	NA	NA	70846608
	Matthews India Fund			10918345	0	0	10918345	3.5173	10918345	0	10918345	3.5173	0	3.5173	0	0.0000	NA	NA	10918345
	Steinberg India Emerging Opportunities Fund Limited			6504514	0	0	6504514	2.0954	6504514	0	6504514	2.0954	0	2.0954	0	0.0000	NA	NA	6504514
	Tano Mauritius India FVCI II			5485190	0	0	5485190	1.7670	5485190	0	5485190	1.7670	0	1.7670	0	0.0000	NA	NA	5485190
	Auburn Limited			4024513	0	0	4024513	1.2965	4024513	0	4024513	1.2965	0	1.2965	0	0.0000	NA	NA	4024513
	Apax Global Alpha Limited			3773000	0	0	3773000	1.2155	3773000	0	3773000	1.2155	0	1.2155	0	0.0000	NA	NA	3773000
(f)	Financial Institutions / Banks		3	866598	0	0	866598	0.2792	866598	0	866598	0.2792	0	0.2792	0	0.0000	NA	NA	866598
(g)	Insurance Companies		4	3276024	0	0	3276024	1.0554	3276024	0	3276024	1.0554	0	1.0554	0	0.0000	NA	NA	3276024
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		164	180484174	0	0	180484174	58.1428	180484174	0	180484174	58.1428	0	58.1428	0	0.0000	NA	NA	180484174
2	Central Government/ State Government(s)/ President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

3	Non-Institutions																	
(a)	Individuals	161865	64473044			64473044	20.7699	64473044		64473044	20.7699		20.7699		0.0000			58363528
	i Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	161694	54097222	0	0	54097222	17.4274	54097222	0	54097222	17.4274	0	17.4274	0	0.0000	NA	NA	47987907
	ii Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	171	10375822	0	0	10375822	3.3426	10375822	0	10375822	3.3426	0	3.3426	0	0.0000	NA	NA	10375621
	(b) NBFCs registered with RBI	1	4150	0	0	4150	0.0013	4150	0	4150	0.0013	0	0.0013	0	0.0000	NA	NA	4150
	(c) Employee Trusts	1	1000	0	0	1000	0.0003	1000	0	1000	0.0003	0	0.0003	0	0.0000	NA	NA	1000
	(d) Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	(e) Any Other (Specify)	6948	19252811	0	0	19252811	6.2023	19252811	0	19252811	6.2023	0	6.2023	0	0.0000	NA	NA	18990945
	Trusts	10	40976	0	0	40976	0.0132	40976	0	40976	0.0132	0	0.0132	0	0.0000	NA	NA	39941
	Foreign Nationals	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Hindu Undivided Family	2550	1633167	0	0	1633167	0.5261	1633167	0	1633167	0.5261	0	0.5261	0	0.0000	NA	NA	1633167
	Foreign Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Directors/Relative of Directors	14	2114424	0	0	2114424	0.6812	2114424	0	2114424	0.6812	0	0.6812	0	0.0000	NA	NA	2111701
	Employee	320	1897617	0	0	1897617	0.6113	1897617	0	1897617	0.6113	0	0.6113	0	0.0000	NA	NA	1871424
	Non Resident Indians	2674	3800927	0	0	3800927	1.2245	3800927	0	3800927	1.2245	0	1.2245	0	0.0000	NA	NA	3798177
	Foreign Portfolio Investor (Individual)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Clearing Member	167	516855	0	0	516855	0.1665	516855	0	516855	0.1665	0	0.1665	0	0.0000	NA	NA	516855
	Enemy Property	26	123	0	0	123	0.0000	123	0	123	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Bodies Corporate	1187	9248722	0	0	9248722	2.9795	9248722	0	9248722	2.9795	0	2.9795	0	0.0000	NA	NA	9019680
	Sub Total (B)(3)	168815	83731005	0	0	83731005	26.9739	83731005	0	83731005	26.9739	0	26.9739	0	0.0000	NA	NA	77359623
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	168979	264215179	0	0	264215179	85.1166	264215179	0	264215179	85.1166	0	85.1166	0	0.0000	NA	NA	257843797

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting

No. of shareholders	No. of Shares	No. of Shares
0	0	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
							Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Custodian/DR Holder	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent informati

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Table V- Statement showing details of significant beneficial owners (SBOs)

Sr . No.	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
Details of the significant beneficial owner										
	His Highness Karim Aga Khan		GBR505432167	United Kindom	14.09	14.09	14.09			
Details of the registered owner										
	Aga Khan Fund for Economic Development S.A.	AAACA4172 G		Switzerland	14.09	14.09	14.09	Yes	Yes	1/3/1996