

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

<b>1</b>	<b>Name of Listed Entity:</b> DCB Bank Limited
<b>2</b>	<b>Scrip Code/Name of Scrip/Class of Security:</b> BSE: 532772, NSE: DCBBANK
<b>3</b>	<b>Share Holding Pattern Filed under:</b> Reg. 31(1)(b) for the Quarter ending 31-12-2019
	<b>a.</b> If under 31(1)(b) then indicate the report for Quarter ending
	<b>b.</b> If under 31(1)(c) then indicate date of allotment/extinguishment
<b>4</b>	<b>Declaration:</b> The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
<b>1</b>	Whether the Listed Entity has issued any partly paid up shares?		No
<b>2</b>	Whether the Listed Entity has issued any Convertible Securities?		No
<b>3</b>	Whether the Listed Entity has any shares against which depository receipts are issued?		No
<b>4</b>	Whether the Listed Entity has any shares in locked-in?		No
<b>5</b>	Whether any shares held by promoters are pledge or otherwise encumbered?		No
<b>6</b>	Whether the Listed Entity has issued any differential Voting Rights?		No
<b>7</b>	Whether the Listed Entity has issued any Warrants?		No
<b>8</b>	Whether the Listed Entity has any significant beneficial owner?		Yes

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

## DCB Bank Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	2	46200234	0	0	46200234	14.8929	46200234	0	46200234	14.8929	0	14.8929	0	0.0000	0	0.0000	46200234
(B)	Public	172872	264015259	0	0	264015259	85.1071	264015259	0	264015259	85.1071	0	85.1071	0	0.0000	NA	NA	257585760
(C)	Non Promoter - Non Public				0				0			0		0.0000	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	<b>Total</b>	<b>172874</b>	<b>310215493</b>	<b>0</b>	<b>0</b>	<b>310215493</b>	<b>100.0000</b>	<b>310215493</b>	<b>0</b>	<b>310215493</b>	<b>100.0000</b>	<b>0</b>	<b>100.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>303785994</b>

**DCB Bank Limited**
**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
									Class eg: X	Class eg: Y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
<b>1</b>	<b>Indian</b>																		
(a)	Individuals / Hindu Undivided Family		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		1	2450182	0	0	2450182	0.7898	2450182	0	2450182	0.7898	0	0.7898	0	0.0000	0	0.0000	2450182
	Bodies Corporate		1	2450182	0	0	2450182	0.7898	2450182	0	2450182	0.7898	0	0.7898	0	0.0000	0	0.0000	2450182
	Platinum Jubilee Investments Ltd.		1	2450182	0	0	2450182	0.7898	2450182	0	2450182	0.7898	0	0.7898	0	0.0000	0	0.0000	2450182
	<b>Sub Total (A)(1)</b>		<b>1</b>	<b>2450182</b>	<b>0</b>	<b>0</b>	<b>2450182</b>	<b>0.7898</b>	<b>2450182</b>	<b>0</b>	<b>2450182</b>	<b>0.7898</b>	<b>0</b>	<b>0.7898</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>2450182</b>
<b>2</b>	<b>Foreign</b>																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)		1	43750052	0	0	43750052	14.1031	43750052	0	43750052	14.1031	0	14.1031	0	0.0000	0	0.0000	43750052
	Bodies Corporate		1	43750052	0	0	43750052	14.1031	43750052	0	43750052	14.1031	0	14.1031	0	0.0000	0	0.0000	43750052
	Aga Khan Fund For Economic Development SA		1	43750052	0	0	43750052	14.1031	43750052	0	43750052	14.1031	0	14.1031	0	0.0000	0	0.0000	43750052
	<b>Sub Total (A)(2)</b>		<b>1</b>	<b>43750052</b>	<b>0</b>	<b>0</b>	<b>43750052</b>	<b>14.1031</b>	<b>43750052</b>	<b>0</b>	<b>43750052</b>	<b>14.1031</b>	<b>0</b>	<b>14.1031</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>43750052</b>
	<b>Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)</b>		<b>2</b>	<b>46200234</b>	<b>0</b>	<b>0</b>	<b>46200234</b>	<b>14.8929</b>	<b>46200234</b>	<b>0</b>	<b>46200234</b>	<b>14.8929</b>	<b>0</b>	<b>14.8929</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>46200234</b>

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.: NIL

**Note:**

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations,

**DCB Bank Limited**
**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted shares)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
<b>1</b>	<b>Institutions</b>																		
(a)	<b>Mutual Fund</b>		<b>23</b>	<b>75039849</b>	<b>0</b>	<b>0</b>	<b>75039849</b>	<b>24.1896</b>	<b>75039849</b>	<b>0</b>	<b>75039849</b>	<b>24.1896</b>	<b>0</b>	<b>24.1896</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>75039849</b>
	HDFC Small Cap Fund			13906886	0	0	13906886	4.4830	13906886	0	13906886	4.4830	0	4.4830	0	0.0000	NA	NA	13906886
	Aditya Birla Sun Life Trustee Private Limited A/c Aditya Birla Sun Life Small Cap Fund			11858234	0	0	11858234	3.8226	11858234	0	11858234	3.8226	0	3.8226	0	0.0000	NA	NA	11858234
	DSP Small Cap Fund			9022562	0	0	9022562	2.9085	9022562	0	9022562	2.9085	0	2.9085	0	0.0000	NA	NA	9022562
	Sundaram Mutual Fund A/C Sundaram Midcap Fund			7767640	0	0	7767640	2.5039	7767640	0	7767640	2.5039	0	2.5039	0	0.0000	NA	NA	7767640
	ICICI Prudential Banking And Financial Services Fund			7272860			7272860	2.3445	7272860	0	7272860	2.3445	0	2.3445	0	0.0000	NA	NA	7272860
	Franklin India Smaller Companies Fund			7249096			7249096	2.3368	7249096	0	7249096	2.3368	0	2.3368	0	0.0000	NA	NA	7249096
	L&T Mutual Fund Trustee Limited-L&T Emerging Businesses Fund			6204400			6204400	2.0000	6204400	0	6204400	2.0000	0	2.0000	0	0.0000	NA	NA	6204400
	Tata Mutual Fund- Tata Equity P/E Fund			5821366			5821366	1.8766	5821366	0	5821366	1.8766	0	1.8766	0	0.0000	NA	NA	5821366
(b)	<b>Venture Capital Funds</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
(c)	<b>Alternate Investment Funds</b>		<b>10</b>	<b>20643205</b>	<b>0</b>	<b>0</b>	<b>20643205</b>	<b>6.6545</b>	<b>20643205</b>	<b>0</b>	<b>20643205</b>	<b>6.6545</b>	<b>0</b>	<b>6.6545</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>20643205</b>
	India Advantage Fund S4 I			12473881	0	0	12473881	4.0210	12473881	0	12473881	4.0210	0	4.0210	0	0.0000	NA	NA	12473881
(d)	<b>Foreign Venture Capital Investors</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
(e)	<b>Foreign Portfolio Investor</b>		<b>132</b>	<b>76224357</b>	<b>0</b>	<b>0</b>	<b>76224357</b>	<b>24.5714</b>	<b>76224357</b>	<b>0</b>	<b>76224357</b>	<b>24.5714</b>	<b>0</b>	<b>24.5714</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>76224357</b>
	Mathews India Fund			11626772	0	0	11626772	3.7480	11626772	0	11626772	3.7480	0	3.7480	0	0.0000	NA	NA	11626772
	Steinberg India Emerging Opportunities Fund Limited			6094520	0	0	6094520	1.9646	6094520	0	6094520	1.9646	0	1.9646	0	0.0000	NA	NA	6094520
	Tano Mauritius India FVCI II			5485190	0	0	5485190	1.7682	5485190	0	5485190	1.7682	0	1.7682	0	0.0000	NA	NA	5485190
	Apax Global Alpha Limited			4633472	0	0	4633472	1.4936	4633472	0	4633472	1.4936	0	1.4936	0	0.0000	NA	NA	4633472
	Abu Dhabi Investment Authority - BEHAVE			3163765	0	0	3163765	1.0199	3163765	0	3163765	1.0199	0	1.0199	0	0.0000	NA	NA	3163765
(f)	<b>Financial Institutions / Banks</b>		<b>2</b>	<b>887212</b>	<b>0</b>	<b>0</b>	<b>887212</b>	<b>0.2860</b>	<b>887212</b>	<b>0</b>	<b>887212</b>	<b>0.2860</b>	<b>0</b>	<b>0.2860</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>887212</b>
(g)	<b>Insurance Companies</b>		<b>6</b>	<b>5281326</b>	<b>0</b>	<b>0</b>	<b>5281326</b>	<b>1.7025</b>	<b>5281326</b>	<b>0</b>	<b>5281326</b>	<b>1.7025</b>	<b>0</b>	<b>1.7025</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>5281326</b>
(h)	<b>Provident Funds/ Pension Funds</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
(i)	<b>Any Other (Specify)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
	<b>Sub Total (B)(1)</b>		<b>173</b>	<b>178075949</b>	<b>0</b>	<b>0</b>	<b>178075949</b>	<b>57.4040</b>	<b>178075949</b>	<b>0</b>	<b>178075949</b>	<b>57.4040</b>	<b>0</b>	<b>57.4040</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>178075949</b>
<b>2</b>	<b>Central Government/ State Government(s)/ President of India</b>																		
	<b>Sub Total (B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>0</b>

3	Non-Institutions																	
(a)	Individuals	165584	65767090			65767090	21.2005	65767090		65767090	21.2005		21.2005		0.0000			59603000
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	165390	55453031	0	0	55453031	17.8756	55453031	0	55453031	17.8756	0	17.8756	0	0.0000	NA	NA	49289142
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	194	10314059	0	0	10314059	3.3248	10314059	0	10314059	3.3248	0	3.3248	0	0.0000	NA	NA	10313858
(b)	NBFCs registered with RBI	1	4150	0	0	4150	0.0013	4150	0	4150	0.0013	0	0.0013	0	0.0000	NA	NA	4150
(c)	Employee Trusts	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Any Other (Specify)	7114	20168070	0	0	20168070	6.5013	20168070	0	20168070	6.5013	0	6.5013	0	0.0000	NA	NA	19902661
	Trusts	12	63718	0	0	63718	0.0205	63718	0	63718	0.0205	0	0.0205	0	0.0000	NA	NA	62683
	Foreign Nationals	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Hindu Undivided Family	2655	1720388	0	0	1720388	0.5546	1720388	0	1720388	0.5546	0	0.5546	0	0.0000	NA	NA	1720388
	Foreign Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Directors/Relative of Directors	17	2578637	0	0	2578637	0.8312	2578637	0	2578637	0.8312	0	0.8312	0	0	NA	NA	2572471
	Employee	315	1812754	0	0	1812754	0.5844	1812754	0	1812754	0.5844	0	0.5844	0	0	NA	NA	1786461
	Non Resident Indians	2666	3878961	0	0	3878961	1.2504	3878961	0	3878961	1.2504	0	1.2504	0	0.0000	NA	NA	3876211
	Foreign Portfolio Investor (Individual)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Clearing Member	148	513605	0	0	513605	0.1656	513605	0	513605	0.1656	0	0.1656	0	0.0000	NA	NA	513605
	Enemy Property	26	123	0	0	123	0.0000	123	0	123	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Bodies Corporate	1275	9599884	0	0	9599884	3.0946	9599884	0	9599884	3.0946	0	3.0946	0	0.0000	NA	NA	9370842
	Sub Total (B)(3)	172699	85939310	0	0	85939310	27.7031	85939310	0	85939310	27.7031	0	27.7031	0	0.0000	NA	NA	79509811
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	172872	264015259	0	0	264015259	85.1071	264015259	0	264015259	85.1071	0	85.1071	0	0.0000	NA	NA	257585760

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares	No. of Shares
0	0	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

**DCB Bank Limited**
**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the shareholders	Nos. of share holders	No. of fully paid up equity shares held	Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
							Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Custodian/DR Holder	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

**Note:**

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

## DCB Bank Limited

Table V- Statement showing details of significant beneficial owners (SBOs)

Sr . No.	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
<b>Details of the significant beneficial owner (SBO)</b>										
	His Highness Karim Aga Khan		GBR505432167	United Kindom	14.10	14.10	14.10			
<b>Details of the registered owner</b>										
	Aga Khan Fund for Economic Development S.A.	AAACA4172G		Switzerland	14.10	14.10	14.10	Yes	Yes	03-01-1996