

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: DCB Bank Limited
2	Scrip Code/Name of Scrip/Class of Security: BSE: 532772, NSE: DCBBANK
3	Share Holding Pattern Filed under: Reg. 31(1)(b) for the Quarter ending 31-12-2020
	a. If under 31(1)(b) then indicate the report for Quarter ending
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether the Listed Entity has issued any differential Voting Rights?		No
7	Whether the Listed Entity has issued any Warrants?		No
8	Whether the Listed Entity has any significant beneficial owner?		Yes

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

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Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	2	46200234	0	0	46200234	14.8798	46200234	0	46200234	14.8798	0	14.8798	0	0.0000	0	0.0000	46200234
(B)	Public	177626	264289729	0	0	264289729	85.1202	264289729	0	264289729	85.1202	0	85.1202	0	0.0000	NA	NA	258675007
(C)	Non Promoter - Non Public				0				0			0		0.0000	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	177628	310489963	0	0	310489963	100.0000	310489963	0	310489963	100.0000	0	100.0000	0	0.0000	0	0.0000	304875241

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi)= (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
1	Indian																		
(a)	Individuals / Hindu Undivided Family		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		1	2450182	0	0	2450182	0.7891	2450182	0	2450182	0.7891	0	0.7891	0	0.0000	0	0.0000	2450182
	Bodies Corporate		1	2450182	0	0	2450182	0.7891	2450182	0	2450182	0.7891	0	0.7891	0	0.0000	0	0.0000	2450182
	Platinum Jubilee Investments Ltd.		1	2450182	0	0	2450182	0.7891	2450182	0	2450182	0.7891	0	0.7891	0	0.0000	0	0.0000	2450182
	Sub Total (A)(1)		1	2450182	0	0	2450182	0.7891	2450182	0	2450182	0.7891	0	0.7891	0	0.0000	0	0.0000	2450182
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)		1	43750052	0	0	43750052	14.0906	43750052	0	43750052	14.0906	0	14.0906	0	0.0000	0	0.0000	43750052
	Bodies Corporate		1	43750052	0	0	43750052	14.0906	43750052	0	43750052	14.0906	0	14.0906	0	0.0000	0	0.0000	43750052
	Aga Khan Fund For Economic Development SA		1	43750052	0	0	43750052	14.0906	43750052	0	43750052	14.0906	0	14.0906	0	0.0000	0	0.0000	43750052
	Sub Total (A)(2)		1	43750052	0	0	43750052	14.0906	43750052	0	43750052	14.0906	0	14.0906	0	0.0000	0	0.0000	43750052
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		2	46200234	0	0	46200234	14.8798	46200234	0	46200234	14.8798	0	14.8798	0	0.0000	0	0.0000	46200234

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.: NIL

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
									Class eg: X	Class eg: y									(IX)
														(X)	(XI)= (VII)+(X) As a % of (A+B+C2)				
1	Institutions																		
(a)	Mutual Fund		20	85438171	0	0	85438171	27.5172	85438171	0	85438171	27.5172	0	0.0000	NA	NA		85438171	
	ICICI Prudential Banking And Financial Services Fund			13966410			13966410	4.4982	13966410	0	13966410	4.4982	0	0.0000	NA	NA		13966410	
	HDFC Small Cap Fund			13742586	0	0	13742586	4.4261	13742586	0	13742586	4.4261	0	0.0000	NA	NA		13742586	
	Aditya Birla Sun Life Trustee Private Limited A/c Aditya Birla Sun Life Small Cap Fund			11793355	0	0	11793355	3.7983	11793355	0	11793355	3.7983	0	0.0000	NA	NA		11793355	
	DSP Small Cap Fund			10634173	0	0	10634173	3.4250	10634173	0	10634173	3.4250	0	0.0000	NA	NA		10634173	
	Franklin India Smaller Companies Fund			9949095			9949095	3.2043	9949095	0	9949095	3.2043	0	0.0000	NA	NA		9949095	
	ITPL - Invesco India Contra Fund			6524602			6524602		6524602		6524602							6524602	
	Tata Mutual Fund- Tata Equity P/E Fund			3594600			3594600	1.1577	3594600	0	3594600	1.1577	0	0.0000	NA	NA		3594600	
	L&T Mutual Fund Trustee Limited- L&T Emerging Businesses Fund			3477650			3477650	1.1201	3477650	0	3477650	1.1201	0	0.0000	NA	NA		3477650	
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0	
(c)	Alternate Investment Funds		14	33230094	0	0	33230094	10.7025	33230094	0	33230094	10.7025	0	0.0000	NA	NA		33230094	
	India Advantage Fund S4 I			12473881	0	0	12473881	4.0175	12473881	0	12473881	4.0175	0	0.0000	NA	NA		12473881	
	Oman India Joint Investment Fund II			9198202	0	0	9198202	2.9625	9198202	0	9198202	2.9625	0	0.0000	NA	NA		9198202	
	TVS Shriram Growth Fund 3			6785020	0	0	6785020	2.1853	6785020	0	6785020	2.1853	0	0.0000	NA	NA		6785020	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0	
(e)	Foreign Portfolio Investor		103	42712002	0	0	42712002	13.7563	42712002	0	42712002	13.7563	0	0.0000	NA	NA		42712002	
	Steinberg India Emerging Opportunities Fund Limited			8000000	0	0	8000000	2.5766	8000000	0	8000000	2.5766	0	0.0000	NA	NA		8000000	
	Matthews India Fund			5440926	0	0	5440926	1.7524	5440926	0	5440926	1.7524	0	0.0000	NA	NA		5440926	
	Apax Global Alpha Limited			3773000	0	0	3773000	1.2152	3773000	0	3773000	1.2152	0	0.0000	NA	NA		3773000	
(f)	Financial Institutions / Banks		1	13	0	0	13	0.0000	13	0	13	0.0000	0	0.0000	NA	NA		13	
(g)	Insurance Companies		8	2411405	0	0	2411405	0.7766	2411405	0	2411405	0.7766	0	0.0000	NA	NA		2411405	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0	
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0	
	Sub Total (B)(1)		146	163791685	0	0	163791685	52.7527	163791685	0	163791685	52.7527	0	0.0000	NA	NA		163791685	
2	Central Government/ State Government(s)/ President of India																		
	Central Government / State Government(s)			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0	
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0	

3	Non-Institutions																	
(a)	Individuals	170706	71779073			71779073	23.1180	71779073		71779073	23.1180		23.1180		0.0000			66391272
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	170459	55773619	0	0	55773619	17.9631	55773619	0	55773619	17.9631	0	17.9631	0	0.0000	NA	NA	50386019
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	247	16005454	0	0	16005454	5.1549	16005454	0	16005454	5.1549	0	5.1549	0	0.0000	NA	NA	16005253
(b)	NBFCs registered with RBI	3	6710	0	0	6710	0.0022	6710	0	6710	0.0022	0	0.0022	0	0.0000	NA	NA	6710
(c)	Employee Trusts	1	1000	0	0	1000	0.0003	1000	0	1000	0.0003	0	0.0003	0	0.0000	NA	NA	1000
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Any Other (Specify)	6770	28711261	0	0	28711261	9.2471	28711261	0	28711261	9.2471	0	9.2471	0	0.0000	NA	NA	28484340
	Trusts	5	14210	0	0	14210	0.0046	14210	0	14210	0.0046	0	0.0046	0	0.0000	NA	NA	13180
	Hindu Undivided Family	2652	2115935	0	0	2115935	0.6815	2115935	0	2115935	0.6815	0	0.6815	0	0.0000	NA	NA	2115490
	Directors/Relative of Directors	14	2114599	0	0	2114599	0.6811	2114599	0	2114599	0.6811	0	0.6811	0	0.0000	NA	NA	2111876
	Employee	294	1858363	0	0	1858363	0.5985	1858363	0	1858363	0.5985	0	0.5985	0	0.0000	NA	NA	1832450
	Non Resident Indians	2623	4124768	0	0	4124768	1.3285	4124768	0	4124768	1.3285	0	1.3285	0	0.0000	NA	NA	4123018
	Clearing Member	203	1035395	0	0	1035395	0.3335	1035395	0	1035395	0.3335	0	0.3335	0	0.0000	NA	NA	1035395
	Bodies Corporate	977	16734106	0	0	16734106	5.3896	16734106	0	16734106	5.3896	0	5.3896	0	0.0000	NA	NA	16539046
	IEPF	1	712495	0	0	712495	0.2295	712495	0	712495	0.2295	0	0.2295	0	0.0000	NA	NA	712495
	Foreign Portfolio Investor (Individual)	1	1390	0	0	1390	0.0004	1390	0	1390	0.0004	0	0.0004	0	0.0000	NA	NA	1390
	Sub Total (B)(3)	177480	100498044	0	0	100498044	32.3676	100498044	0	100498044	32.3676	0	32.3676	0	0.0000	NA	NA	94883322
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	177626	264289729	0	0	264289729	85.1202	264289729	0	264289729	85.1202	0	85.1202	0	0.0000	NA	NA	258675007

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares	No. of Shares
0	0	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding %, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
							Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Custodian/DR Holder	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

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Table V- Statement showing details of significant beneficial owners (SBOs)

Sr . No.	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
Details of the significant beneficial owner (SBO)										
	His Highness Karim Aga Khan		GBR505432167	United Kindom	14.09	14.09	14.09			
Details of the registered owner										
	Aga Khan Fund for Economic Development S.A.	AAACA4172G		Switzerland	14.09	14.09	14.09	Yes	Yes	03.01.1996