

Ref. No.CO:CS:RC:2019-20:226

January 31, 2020

The General Manager Corporate Relationship Dept. BSE Limited, Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai - 400 023.	Asst. Vice President National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex Bandra (E), Mumbai – 400 051.
<b>Scrip Code: 532772</b>	<b>Symbol: DCBBANK</b>

Dear Sirs,

**Re: Transfer of Equity Shares of the Bank to Investor Education and Protection Fund (IEPF) Demat Account**

Pursuant to Regulation 30 and other applicable regulations, if any of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose the following documents:

1. Copy of Letter dated 28<sup>th</sup> January, 2020 sent to the shareholders.
2. Copies of newspaper advertisements, published in the Business Standard (English) and in Pudhari (Marathi) on 31st January, 2020.

The above letters were sent via Speed Post / Registered Post to the shareholders who had not claimed their dividends for seven or more consecutive years and therefore the dividend was transferred to IEPF and now the underlying shares are also liable for transfer to the IEPF Demat Account as per Section 124(6) of the Companies Act, 2013 read with the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 notified by the Ministry of Corporate Affairs (as amended).

You are requested to take the above on your records.

Thanking you,

Yours faithfully  
DCB Bank Limited



Rubi Chaturvedi  
Company Secretary &  
Compliance Office



DCB Bank Limited

January 28, 2020

Dear Shareholder,

**Sub: Transfer of equity shares in respect of which dividend has not been claimed for seven consecutive years or more to the Investor Education and Protection Fund**

This is to inform you that pursuant to the provisions of Section 124(6) of the Companies Act, 2013 and explanation to Rule 6 (1) of the Investor Education and Protection Fund Authority (Accounting, Auditing, Transfer and Refund) Rules, 2016, which has come into effect from 20<sup>th</sup> August, 2019, all equity shares in respect of which dividend has been transferred to Investor Education and Protection Fund on or before 7<sup>th</sup> September, 2016, shall also be transferred by the Bank in the name of Investor Education and Protection Fund ("IEPF"), a Fund constituted by the Government of India under Section 125 of the Companies Act, 2013.

It has been noticed that as you had not encashed/ claimed dividend for seven consecutive years or more, the relevant dividend amounts were transferred to Investor Education and Protection Fund before 7<sup>th</sup> September, 2016. In accordance with the explanation to Rule 6 (1) as stated above, the underlying equity shares are also now required to be transferred to IEPF. The details of the dividends not claimed by you and consequently transferred to IEPF are as under:

Dividend for the year	Warrant No	Amount (Rs.)
Final Dividend For The Year 1995-1996		
Interim Dividend For The Year 1996-1997		
Final Dividend For The Year 1996-1997		
Interim Dividend For The Year 1997-1998		
Final Dividend For The Year 1997-1998		
Interim Dividend For The Year 1998-1999		
Final Dividend For The Year 1998-1999		
Interim Dividend For The Year 1999-2000		

Dividend for the year	Warrant No	Amount (Rs.)
Final Dividend For The Year 1999-2000		
Interim Dividend For The Year 2000-2001		
Final Dividend For The Year 2000-2001		
Interim Dividend For The Year 2001-2002		
Final Dividend For The Year 2001-2002		
Interim Dividend For The Year 2002-2003		
Final Dividend For The Year 2002-2003		
Final Dividend For The Year 2003-2004		

To claim the ownership of the relevant equity shares or in case you need any information/ clarification, please write to or contact our Share Department or Registrar & Transfer Agent (RTA) at the contact details provided below.

Please submit the claim in writing, duly signed by the shareholder as per signature recorded with the Bank and provide following details in your communications:

1. Name of the Company 2. Folio No. 3. Name of shareholder 4. Contact No. and 5. Email ID. Also provide self-attested KYC documents of the shareholder like PAN, cancelled cheque leaf along with latest utility bill/Aadhar Card bearing the correct address, as address proof.

You are requested to claim the ownership of the relevant equity shares on or before April 30, 2020 failing which the relevant equity shares will be transferred to IEPF on an appropriate date without further notice to you in this regards and no claim shall lie against the Bank in respect of unclaimed dividend amount or shares transferred to the IEPF.

However, as per the Rules, both the unclaimed dividend amount and the shares can be claimed from the IEPF Authority by making an online application in the prescribed Form IEPF-5 available on the website [www.iepf.gov.in](http://www.iepf.gov.in) and sending the physical copy of the same duly signed (as per the specimen signature recorded with the Bank) to the Bank along with the requisite documents enumerated in Form IEPF-5.

In case if you have any queries or need any assistance in this regard, please contact:

Contact details of the Bank	Contact details of Registrar & Transfer Agent
DCB Bank Limited Share Department, Shop No.2, Ground Floor, Next to DCB Bank ATM, S. V. Patel Road, Dongri, Mumbai-400 009 Tel. +91-22-67476986; 67476991 Email: <a href="mailto:Investorgrievance@dcbbank.com">Investorgrievance@dcbbank.com</a>	Link Intime India Pvt. Ltd. C-101,247 Park, LBS Marg, Vikhroli (West), Mumbai- 400083; Tel No.: +91-22-49186270 Email Id: <a href="mailto:iepf.shares@linkintime.co.in">iepf.shares@linkintime.co.in</a>

Thanking you,

Yours faithfully,  
For DCB BANK LIMITEDSd/-  
Rubi Chaturvedi  
Company Secretary

\*This is computer generated letter and hence does not require signature



Advertisement in newspapers on 31<sup>st</sup> January 2020  
Transfer of equity shares of the Bank to Investor Education and Protection Fund (IEPF)

Business Standard MUMBAI | FRIDAY, 31 JANUARY 2020

पुनरी विविध मुंबई, शुक्रवार, ३१ जानेवारी २०२०

## DCB Bank Limited

CIN: L99999MH1995PLC089008

Corporate & Registered Office: 6th Floor, Tower A  
Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai - 400013  
Tel: +91 22 66187000 Fax: +91 22 66589970  
E-mail: [Investorgrievance@dcbbank.com](mailto:Investorgrievance@dcbbank.com) Website: [www.dcbbank.com](http://www.dcbbank.com)

## DCB BANK

### NOTICE

(For the attention of the Equity Shareholders of the Bank)

**Transfer of equity shares of the Bank to Investor Education and Protection Fund (IEPF)**  
Notice is hereby given that pursuant to Section 124(B) of the Companies Act, 2013 (the Act) read with Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016, amended from time to time ("the Rules"), the Bank is required to transfer all the shares in respect of which dividend has been transferred to Investor Education and Protection Fund on or before the 7th September 2016 in the name of Investor Education and Protection Fund.

To comply with the above provisions, all equity shares, in respect of which dividend has been transferred to IEPF prior to September 2016, shall be transferred by the Bank in the name of Investor Education and Protection Fund along with a statement containing such details as may be prescribed.

As provided under the Rules, individual notices have been sent to all the concerned shareholders at their registered address whose shares are liable to be transferred to the IEPF demat account.

The Bank has also uploaded full details of such shareholders and their shares which are due for transfer to the IEPF Demat Account on the website of the Bank, <https://www.dcbbank.com/cms/showpage/page/for-shareholder>, which the concerned shareholders are requested to refer to verify the details of their unclaimed dividend and the shares liable to be transferred to the IEPF Demat Account. In this connection, please note the following

- For shares held in physical form:** Duplicate share certificate(s) will be issued and transferred to IEPF. The original share certificate(s) which is registered in your name(s) for the shares held by you, will stand automatically cancelled.
- For shares held in demat form:** Your demat account will be debited for the shares liable for transfer to the IEPF.

If the Bank does not receive any communication from the concerned shareholders on or before 30th April, 2020, the Bank shall transfer their shares to the IEPF Demat Account, in order to comply with the provisions of the Act/Rules.

Shareholders may please note that after the transfer of their shares to the IEPF Demat Account, they may claim from the IEPF Authority both the unclaimed dividend amount(s) and the shares including all benefits accruing on such shares by making an online application in the prescribed Form IEPF-5, available on the website [www.iepf.gov.in](http://www.iepf.gov.in) and sending the physical copy of the same duly signed (as per the specimen signature recorded with the Bank) to the Bank along with the requisite documents enumerated in Form IEPF-5.

Please also note that no claim shall lie against the Bank in respect of unclaimed dividend amount/shares once it gets transferred to IEPF pursuant to said the Act/Rules.

For any query, the concerned shareholders are requested to contact the Bank's Registrar and Share Transfer Agent (RTA) M/s. Link Intime India Pvt. Ltd., C-101, 247 Park, LBS Marg, Vikhroli (West), Mumbai - 400083 Tel No.: (022) 49186270. E-mail: [iepf.shares@linkintime.co.in](mailto:iepf.shares@linkintime.co.in)

For DCB Bank Limited

Sd/-

Rubi Chaturvedi

Company Secretary

Place: Mumbai  
Date: 30th January, 2020

## डीसीबी बँक लिमिटेड

सी.आय.एन. : L99999MH1995PLC089008

कॉर्पोरेट व नोंदणीकृत कार्यालय : ६ वा फ्लोर, टॉवर ए, पेनिन्सुला बिज़नेस पार्क, सेनापती बापट मार्ग, लोअर पारेल, मुंबई - ४०० ०१३

दूर : + ९१ २२ ६६१८७०००

ई-मेल : [investorgrievance@dcbbank.com](mailto:investorgrievance@dcbbank.com)

फॅक्स : ९१ २२ ६६५८९९७०

वेबसाइट : [www.dcbbank.com](http://www.dcbbank.com)

### सूचना

(बँकेच्या समभागधारकांनी कृपया लक्ष द्यावे)

गुंतवणूकदार शिक्षण व संरक्षण निधी (आयईपीएफ) डिमेंट खाते यामध्ये बँकेच्या समभागांचे हस्तांतरण गुंतवणूकदार शिक्षण व संरक्षण निधी प्राधिकरण (लॅम्बा, लेखापरीक्षण, हस्तांतरण व परतावा) अधिनियम, २०१६ (नियम) (सुधारित) सहाय्यता कंपनी कायदा २०१३ चे कलम १२४(६) च्या तत्समते नमूद कंपनीच्या पायाधारकांना सूचना देण्यात येत आहे की, दि ७ सप्टेंबर २०१६ रोजी व तत्पूर्वी गुंतवणूकदार शिक्षण व संरक्षण निधीमध्ये हस्तांतरित करण्यात आलेल्या लाभार्थीसंबंधीतील सर्व शेअर्सचे बँकेला गुंतवणूकदार शिक्षण व संरक्षण निधीच्या नावे हस्तांतरण करणे आवश्यक आहे.

बटोल नमुद केलेल्या तद्दुदोच्या अनुषंगाने सप्टेंबर २०१६ च्या आधी आयईपीएफकडे हस्तांतरित करण्यात आलेल्या लाभार्थीसंबंधीतील सर्व शेअर्सचे विहित केल्यानुसार तप्यालावा समावेत असलेल्या अहवालासह बँकेद्वारे आयईपीएफच्या नावे हस्तांतरण करण्यात येईल.

निव्वमत नमुद तद्दुदुनुसार त्या संबंधित बागधारकांचे निव्वमतान्वयत आयईपीएफ डिमेंट खातेमध्ये शेअर्स हस्तांतरित करण्यात येणार आहेत. त्या सर्वांना वैयक्तिक सूचनापत्र बँकेने कळविले आहे. त्या बागधारकांचे शेअर्स आयईपीएफ डिमेंट खात्यात हस्तांतरित केले जाणार आहेत. त्यांचे संपूर्ण तप्याले बँकेच्या <https://www.dcbbank.com/cms/showpage/page/for-shareholder> या वेबसाइटवर अपलोड केले आहे. संबंधित बागधारकांना विनंती आहे की, त्यांनी दावा न झालेले लाभार्थी व आयईपीएफ डिमेंट खात्यात हस्तांतरित होण्यास पात्र शेअर्सचे तप्याले पडतलून घ्यावे. त्या बागधारकांचे शेअर्स आयईपीएफ डिमेंट खातेमध्ये हस्तांतरित करण्यास पात्र आहेत आणि अ) वैयक्तिक तप्याले न्यायी बागधारकांना आहे अशा बागधारकांनी कृपया नोंद घ्यावी की, वेबसाइटवर विद्वारे अपलोड केलेल्या तप्याले हस्तांतरण दर्शवतात. त्यांच्याद्वारे धारण मूळ पात्र प्रमाणपत्रांवरून दुय्यम पात्रप्रमाणपत्र बँकेद्वारे वितरित केले जाईल आणि अशा वितरणानंतर त्यांच्या नावे नोंद असलेले मूळ पात्रप्रमाणपत्र सांजिकच रद्द केले जातील आणि यापुढे ते व्यवहार योग्य नसतील. बागधारकांनी कृपया नोंद घ्यावी की, वेबसाइटवर विद्वारे अपलोड केलेल्या तप्याले हे नियमानुसार आयईपीएफ डिमेंट खातेमध्ये शेअर्स हस्तांतरणाच्या उद्देशासाठी बँकेद्वारे दुय्यम पात्र प्रमाणपत्र वितरणानंतर योग्य सूचना म्हणून समजावे. अ) वर संबंधित बागधारकांची डिमेंट खात्यात बागधारका अस्तित्वात त्यांचे शेअर्स कॉर्पोरेट कारवायामार्फत आयईपीएफ डिमेंट खात्यात हस्तांतरित केले जातील.

जर बँकेला ३० एप्रिल २०२० रोजी तप्याले संबंधित बागधारकांकडून कोणताही पत्रव्यवहार प्राप्त न झाल्यास नियमत दिलेल्या आवश्यकतेनुसार बँकेद्वारे नियमत विहित तद्दुदुनुसार अंतिम नारखेला आयईपीएफ डिमेंट खात्यात शेअर्स हस्तांतरण केले जातील.

बागधारकांनी कृपया नोंद घ्यावी की, आयईपीएफ डिमेंट खात्यात त्यांचे शेअर्स हस्तांतरित केल्यावर अशा शेअर्ससंबंधी सर्व लाभार्थी दावा न केलेली लाभार्थी रकम व शेअर्स असे दोन्हीसाठी आयईपीएफ प्राधिकरणकडून दावा करता येईल. याकरिता आयईपीएफ प्राधिकरणकडे नमुना आयईपीएफ-५ मध्ये विहित आवश्यक दस्तावेजसह बँकेकडे स्वाक्षरी केलेल्या वास्तविक प्रती (कॉफर्माकडे नोंद नमुना स्वाक्षरीनुसार) पाठवाव्यात किंवा [www.iepf.gov.in](http://www.iepf.gov.in) वेबसाइटवर उपलब्ध विहित नमुना आयईपीएफ-५ मध्ये ऑनलाईन अर्ज करावा आणि नियमान्वयत प्रक्रियांचे पालन करावे. दावा न केलेल्या लाभार्थी रकम व सधर विच्यनुसार आयईपीएफ प्राधिकरणाकडे हस्तांतरित केलेले शेअर्ससंबंधी बँकेवर कोणताही दावा करता येणार नाही.

जर संबंधित बागधारकास या प्रकरणात व नियमावलीवर काही प्रस्न असल्यास त्यांनी कृपया संपर्क कंपनी निबंधक व शेअर एजेंट (आरटोए)-मे लिंक इन्टाइम इंडिया प्रा. लि. सी-१०१, २४७ पार्क, एलबीएस मार्ग, विक्रोली (पश्चिम), मुंबई-४०००८३, मू. क्र. ०२२-४९१८६२७०. ई-मेल- [iepf.shares@linkintime.co.in](mailto:iepf.shares@linkintime.co.in) येथे संपर्क साधवा.

ईमोबी बँक लिमिटेडकरिता

सही/-

रुबी चतुर्वेदी

कंपनी सचिव

ठिकाण : मुंबई

दिनांक : ३० जानेवारी २०२०

