

(For individuals only)

# DCB BANK

**Bank ARN Code: ARN-0353**

I/We are maintaining CASA accounts with DCB Bank Limited and hereby request to open Mutual Fund Online Account in my / our name as per the following details:

If first applicant is Minor  
please mention Date of Birth:

[illegible]

Occupation: ☐ Salaried ☐ Housewife ☐ Student ☐ Others

Guardian details should be same as per bank records.

\*PAN Number: | | | | | | | | | |

Occupation: ☐ Salaried ☐ Housewife ☒ Student ☐ Others

Guardian details should be same as per bank records.

\*PAN Number: | | | | | | | | | |

Occupation: ☐ Salaried ☐ Housewife ☐ Student ☐ Others

**Mode of Operation:** ☐ Single ☐ Guardian Only ☐ Anyone

**Kindly issue me / us the Internet-Banking user Ids & Passwords to access Mutual Fund Online.**

[illegible]

(I/we accept to receive mutual fund related communications from the bank on above mentioned email address, if the same is updated in the bank records)

Date of Birth: | D | D | M | M | Y | Y | Y | Y |

Guardian Name & Address:	

I / We hereby understand and agree that the nomination details provided by me / us herein above shall be shared with such Asset Management Companies ("AMCs") in whose schemes I / We shall invest through Bank Mutual Fund Online services. The same shall be applicable for all mutual fund investments carried out by me / us through Mutual Fund Online services on acceptance by the respective AMCs. In the event I / We would like to change the nomination details as provided herein above, I / We shall be required to approach the concerned AMC directly in this regard.

Signature of 3rd Applicant

## Mandate for Mutual Fund Investments / Purchase / Switch / Redemption

I/We are having a Savings / Current account with your DCB Bank Limited (the "Bank") which is being operated by me / us on a "singly" or on "either or survivor" basis (hereinafter referred to as "Specified Account") bearing

Customer Identification No. (1st Applicant): \_\_\_\_\_

Customer Identification No. (2nd Applicant): \_\_\_\_\_

Customer Identification No. (3rd Applicant): \_\_\_\_\_

I / We are desirous of investing in units of Mutual Funds ("Units") of various third party Mutual Funds by utilising the Bank's online platform "MF ONLINE" & common Application Form or any other channel that the Bank may provide from time to time. Pursuant to the above, I/We hereby request you to take this letter as Standing Instructions / Mandate / Authority to:

- 1) To debit the Specified Account(s) maintained with the Bank for purchasing, acquiring and or investing in the said Units;
- 2) To credit the Specified Account(s) maintained with the Bank for selling the said Units;
- 3) To execute Switch Instruction (between schemes of Mutual Funds) on the basis of my instruction;
- 4) To collect, receive and give good effectual receipts and discharges for any sum including dividend, interest or income arising from the Units & to sign and endorse dividend and interest warrants;
- 5) To collect, receive my / our investment details from Asset Management Company / Registrar and Transfer Agent.

I / We hereby undertake to maintain clear balance in the Specified Account at all times. Further, I acknowledge that the sole responsibility of intimating any change in the instructions lies with me and I undertake to intimate the Bank in the event of such change.

I / We understand and agree that the Bank may rely on the instructions provided by me; however, the Bank shall not be obligated to act on any such instruction.

I / We agree to bear any losses or claims that may arise directly or indirectly on account of the Bank acting on this instruction / mandate. I also indemnify and keep the thing done by the Bank in furtherance of this Mandate / Authority.

This instruction / Mandate / Authority is subject to the Terms and Conditions as specified on the Bank's website ([www.dcbbank.com](http://www.dcbbank.com)).

## For Bank Use

Branch Name: \_\_\_\_\_

Employee Code: \_\_\_\_\_

## Declaration

- 2.1) I/We hereby authorise DCB Bank Limited (the "Bank") to link the above-mentioned bank account maintained by me/us to the investments made by me/us in above-mentioned combination and to transfer funds in any form and manner, including but not limited to, by way of debit of my/our above-mentioned account, issue of pay orders / demand drafts / banker's cheque from my/our above-mentioned account for the purchase of mutual fund units/securities in pursuance of the instructions given by me/us from time to time. I/We hereby state that all acts, deeds and things done by the Bank based on such instructions shall be binding on me/us.
- 2.2) Irrespective of the instructions given earlier, I/We, the second and third holders, irrevocably constitute the first holder as my/our agent and agree that the instructions given by the first holder to purchase mutual funds would be funded through my/our above-mentioned bank account.  
Irrespective of the instructions given earlier, I/We, the second and third holders agree that the instructions may be given by the first holder in the name of the first holder only or first holder jointly with any persons to the exclusion of second and third holders. I/We, the second and third holders will not raise any objections to the Bank acting on such instructions.
- 2.3) I/We hereby understand that DCB Bank Limited is acting on non-participation basis as distribution agent of various funds, insurance companies / corporations / entities and is not acting as principal. I/We hereby agree and undertake not to hold the Bank liable for any loss or damage caused by reason of failure or delay of any company/ corporation/ entities to deliver any units/securities purchased / issuance of policy or failure or delay of any company / corporation / entities to make payment for any units / securities sold / policy surrendered and shall keep the Bank harmless and free from any claim in respect thereof. The Bank shall also not be liable for any delay, failure or refusal of the mutual fund/insurance company / any other company/corporation or other entities in registering or transferring units / policies / securities in my/our name or for any interest, dividend or other loss caused to me/us arising therefrom.
- 2.4) I/We hereby agree and undertake to indemnify the Bank from any and all responsibility for any act of commission or delay in execution of instruction.
- 2.5) I/We agree and confirm that I/we acknowledge and understand that investments in mutual funds / other securities are subject to market risks including possible loss of principal amount invested and the value of my/our units/securities will fluctuate. I/we confirm that the decisions/instructions by me/us shall be taken keeping in consideration my/our financial circumstances, needs, investment objectives and risk factors.  
If I/we redeem/liquidate my/our units/securities, I/We may receive more or less than I/We have paid depending upon the market value of the units/securities. Past results are not a guarantee of future performance of such invested units/securities. I/We expressly agree and acknowledge that all decisions to purchase or sell or surrender units/securities/policies are solely made by me/us on the basis of my/our own personal judgment arrived at after due consideration. I/We agree and understand that the Bank does not in any manner guarantee payment/liquidity/redemption/repayment of any units/securities/dividends/interest/any other income/profits nor does the Bank make any offer for purchase or sale of any units/securities. I/We understand that insurance is subject matter of solicitation and issuance of policies and payment of claims are at sole discretion of the insurance company.
- 2.6) I/We understand and agree that the instructions to be given to the Bank shall be by and in a manner stated by the Bank in its procedures. Further we understand that the bank may reject any instruction if the same is not as per the process. I/We hereby undertake and agree to indemnify the Bank against any fraudulent instructions.
- 2.7) I/We understand and agree that the investment instructions shall only be processed by the Bank when there is sufficient balance in my/our above-mentioned account in order to cover the purchase price, other costs, fees, charges etc, which are payable in such type of transactions.
- 2.8) I/We expressly understand and agree that the sum invested under investment account is not a deposit with the Bank and the Bank, its subsidiaries and affiliates shall not be liable to me/us for any direct, indirect, special consequential or exemplary damages which may be incurred by me/us, however and under any theory of liability. This shall include, but not limited to, any loss of profit (whether incurred directly or indirectly), any loss of goodwill or business reputation or other tangible loss or for any damages which may be incurred by me/us whether the Bank is advised of or should have been aware of the possibility of any such losses arising.
- 2.9) I/We hereby agree that the services provided by the Bank are subject to the general terms and conditions as stated on the Bank's website [www.dcbbank.com](http://www.dcbbank.com) and as may be amended from time to time.
- 2.10) I/We undertake and acknowledge that in the event, I/we have any dispute with any company/corporation/entities pursuant to the transactions done under this account, I/we shall not hold the Bank liable or responsible for the same. In all such cases, I/we shall directly liaise with the concerned company/corporation/entities regarding the disputes and further undertake that I/we shall not make the Bank a party to it.
- 2.11) DCB Mutual Fund Online facility is linked with your individual DCB account. Thus if the DCB account is closed, Mutual Fund online facility will not be available.
- 2.12) Kindly ensure holding pattern of the Mutual Fund Online account and Asset Management Company (AMC) statement is same at all times. For e.g. if Mutual Fund Online Account is in joint holding between A and B, where A is primary customer, then Mutual Fund folio should also be in the names of A and B, where A remains as a primary customer.
- 2.13) All folios must be KYC compliant for successful conversion.
- 2.14) Please submit self-attested copy of PAN Card of all holders.

### FEES

I/We agree the Bank is not charging any fees for the service however I/we agree that in future the Bank is entitled to charge and receive a fee per transaction done by me/us from my/our above-mentioned account. I/we agree to pay to the Bank such charges / fees as per the service, Fee Schedule decided by the Bank from time to time at its sole discretion. I/we agree and understand that the Bank's charges/fees will be in addition to charges/fees levied by the mutual fund company and/or by the Central Depository Services Ventures Limited or any other authority and/or by the Central Depository Services Limited or any other authority.

\_\_\_\_\_  
Signature of 1st Applicant

\_\_\_\_\_  
Signature of 2nd Applicant

\_\_\_\_\_  
Signature of 3rd Applicant

### A. Individual

- Self-certified copy of PAN card
- Self-certified copy of address proof
- Self-certified copy of KYC acknowledgement letter or KYC verified letter

In case of joint account, all the above-mentioned documents will be required for all applicants.

### B. Minor

- Age proof of minor - any one of the following: Birth certificate / school or junior college certificate / school or college mark sheet / passport / PAN card
- Guardian's PAN card
- Guardian's address proof
- Guardian's KYC acknowledgement
- Relation proof between minor and guardian - any one of the following: Birth certificate / passport / PAN card / school or college certificate / school or college mark sheet / copy of court order (in case of legal guardian)

All document to be self attested by guardian.

### C. HUFs (Hindu Undivided Families)

- PAN of HUF
- Bank passbook / bank statement in the name of HUF, proof of identity, proof of address, PAN of Karta
- KYC acknowledgement letter or KYC verified letter of HUF

**To be duly certified by Karta.**